

# Fault-Tolerant Fog Computing Models in the IoT

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Abstract. A huge number of devices like sensors are interconnected in the IoT (Internet of Things). In order to reduce the traffic of networks and servers, the IoT is realized by the fog computing model. Here, data and processes to handle the data are distributed to not only servers but also fog nodes. In our previous studies, the tree-based fog computing (TBFC) model is proposed to reduce the total electric energy consumption. However, if a fog node is faulty, some sensor data cannot be processed in the TBFC model. In this paper, we propose a fault-tolerant TBFC (FTBFC) model. Here, we propose non-replication and replication FTBFC models to make fog nodes fault-tolerant. In the non-replication FTBFC model, another operational fog node takes over a faulty fog node. We evaluate the non-replication FTBFC models in terms of the electric energy consumption and execution time.

**Keywords:** Energy-efficient fog computing  $\cdot$  IoT(Internet of Things) Energy-efficient IoT  $\cdot$  Tree-based fog computing model

# 1 Introduction

The Internet of Things (IoT) [1,4] is composed of not only computers like servers and clients but also devices like sensors and actuators. In the cloud computing model [2,6], sensor data obtained by sensors are transmitted to servers in a cloud and processed in servers. Then, servers send actions to actuators. Here, networks are congested and servers are overloaded due to heavy traffic of sensor data from sensors.

In the fog computing model [10] of the IoT, fog nodes are between clouds of servers and devices. A fog node receives sensor data, processes the data, and sends the processed data to another fog node. For example, an average value of a collection of sensor data is calculated on fog nodes and is sent to servers. Thus, data processed by a fog node is smaller than sensor data. Servers just receive data processed by fog nodes. Thus, data and processes to handle the data are distributed to servers and fog nodes. Since processed sensor data is transmitted to servers, the traffic of the network and servers can be reduced.

The linear fog computing (LFC) model [8] and the tree-based fog computing (TBFC) model [7,9] are proposed. Here, fog nodes are hierarchically structured in a tree. Sensors send sensor data to edge fog nodes and edge fog nodes generate output data obtained by processing the sensor data. A fog node processes input data received from other fog nodes and sensors. Then, a fog node sends processed output data to a parent fog node. Thus, each fog node sends processed data to a parent fog node. Finally, processed data is sent to servers in a cloud. The electric energy consumption and execution time of fog nodes are shown to be reduced in the TBFC model compared with the cloud computing model [7,9].

In the TBFC model, if some fog node is faulty, sensor data to be processed by the faulty fog node is not sent to the parent fog node. In this paper, we newly propose a fault-tolerant tree-based fog computing (FTBFC) model which is tolerant of faults of fog nodes. We newly propose a pair of non-replication and replication FTBFC models. In the non-replication model, another fog node takes over the faulty fog node. Child fog nodes of the faulty fog node communicate with the new parent fog node. Here, since the new parent fog node receives larger volume of input data, it takes longer time to process input data from the child fog nodes and the parent fog node consumes more electric energy. The output data of the parent fog node gets also larger and ancestor nodes receive more volume of input data and consume more electric energy. In the replication FTBFC model, every fog node is replicated. Even if a fog node is faulty, another replica receives input data and processes the input data. We evaluate the nonreplication FTBFC model in terms of the electric energy and execution time.

In Sect. 2, we present a system model of the IoT. In Sect. 3, we propose the FTBFC model to make fog nodes fault-tolerant. In Sect. 4, we evaluate the FTBFC model.

## 2 System Model

#### 2.1 TBFC Model

The fog computing model [10] of the IoT is composed of devices, fog nodes, and clouds. Clouds are composed of servers like the cloud computing model [2].

The device layer is composed of various devices, i.e. sensors and actuators. A sensor collects data obtained by sensing events occurring in physical environment [5]. Sensor data collected by sensors is delivered to servers in networks. For example, sensor data is forwarded to neighbor sensor nodes in wireless networks as discussed in wireless sensor networks (WSNs) [12]. Sensor data is finally delivered to edge fog nodes at the bottom of the fog layer. Based on the sensor data, actions to be done by actuators are decided in the IoT. Actuators receive actions from edge fog nodes and perform the actions on the physical environment.

Fog nodes are at a layer between the device and cloud layers [11]. Fog nodes are interconnected with other fog nodes in networks. In the cloud computing model, the fog layer is just a network of routers and each fog node is a router. A fog node also supports the routing function where messages are routed to destination nodes [12]. Thus, fog nodes receive sensor data and forward the sensor data to servers in fog-to-fog communication. In addition to the routing functions, a fog node does some computation on a collection of input data sent by sensors and other fog nodes. In addition, the input data is processed and new output data, i.e. processed data of the input data is generated by a fog node. For example, a maximum value  $d_k$  is selected by searching a collection of input data  $d_1, \ldots, d_l$  obtained from sensor nodes. The maximum value  $d_k$  is the output data and the collection of data  $d_1, ..., d_l$  is the input data of the fog node. Output data processed by a fog node is sent to neighbor fog nodes and servers finally receive data processed by fog nodes. In addition, a fog node makes a decision on what actions actuators have to do based on sensor data. Then, edge fog nodes issue the actions to actuator nodes. A fog node is also equipped with storages to buffer data. Thus, data and processes are distributed to not only servers but also fog nodes in the fog computing model while centralized to servers in the cloud computing model.

In the tree-based fog computing (TBFC) model [7,9], fog nodes are treestructured as shown in Fig. 1. The root node  $f_0$  denotes a cloud of servers. The root node  $f_0$  has child fog nodes  $f_{01}, ..., f_{0l_0}$   $(l_0 \ge 1)$ . Here, each fog node  $f_{0i}$ also has child fog nodes  $f_{0i1}, ..., f_{0il_{0i}}$   $(l_{0i} \ge 1)$ . Thus, each fog node has one parent fog node and child fog nodes. A notation  $f_R$  shows  $f_0$ , i.e. label R is 0 if  $f_R$  is a root node. If  $f_R$  is an *i*th child of a fog node  $f_{R'}$ ,  $f_R$  is  $f_{R'i}$ , i.e. label R is a concatenation R'i of labels R' and i. Suppose a fog node  $f_R$  is at level m of a tree and is an *i*th child of a fog node  $f_{R'}$ . The label R of a fog node  $f_R$  shows a sequence of labels  $0r_1r_2 \dots r_{m-1}i$  where the label R' of the parent fog node  $f_{R'}$  is  $0r_1r_2 \dots r_{m-1}$ . Here, each  $1 \leq r_i \leq l_{0r_1 \dots r_{i-1}}$  for each  $r_i$ . Thus, the label  $R(=0r_1r_2 \dots r_{m-1}i)$  of a fog node  $f_R$  shows a path, i.e. a sequence of fog nodes  $f_0, f_{0r_1}, f_{0r_1r_2}, \dots, f_{0r_1r_2\dots r_{m-1}} (= f_R)$  from a root  $f_0$  to the fog node  $f_R$ . Here, the length |R| of the label R is m. A fog node  $f_R$  is at level |R| - 1 (= m - 1)in the tree. Thus, each fog node  $f_R$  has  $l_R (\geq 0)$  child fog nodes  $f_{R1}, ..., f_{Rl_R}$  $(l_R \geq 0)$  where  $f_{Ri}$  is an *i*th child fog node of the fog node  $f_R$ . In turn,  $f_R$  is a parent fog node of the fog node  $f_{Ri}$ . An edge fog node  $f_{Ri}$  is at the bottom level of the tree and has no child fog node  $(l_{Ri} = 0)$ . A root fog node  $f_0$  has no parent node. Suppose a sensor sends data to an edge fog node  $f_{RR'}$ . Here, the sensor is a descendant sensor of a fog node  $f_R$ .

A fog node  $f_{Ri}$  takes input data  $d_{Rij}$  sent by each child fog node  $f_{Rij}$   $(j = 1, ..., l_{Ri})$ . A process  $p_{Ri}$  in the fog node  $f_{Ri}$  does the computation on a collection  $D_{Ri}$  of input data  $d_{Ri1}$ , ...,  $d_{Ril_{Ri}}$  obtained from the child fog nodes  $f_{Ri1}$ , ...,  $f_{Ril_{Ri}}$ , respectively, and generates output data  $d_{Ri}$ . Then, the fog node  $f_{Ri}$  sends the output data  $d_{Ri}$  to the parent fog node  $f_R$ .



Fig. 1. TBFC model.

#### 2.2 Model of a Fog Node

Each fog node  $f_{Ri}$  provides not only routing function but also computation on sensor data. Each process  $p_{Ri}$  of a fog node  $f_{Ri}$  is composed of four modules, an input  $I_{Ri}$ , computation  $C_{Ri}$ , output  $O_{Ri}$ , and storage  $S_{Ri}$  modules as shown in Fig. 2 [8]. The input module  $I_{Ri}$  receives data  $d_{Rij}$  from each child fog node  $f_{Rij}$  ( $j = 1, ..., l_{Ri}, l_{Ri} \ge 0$ ). Then, the computation module  $C_{Ri}$  does the computation on the collection  $D_{Ri}$  of the input data  $d_{Ri1}, ..., d_{Ril_{Ri}}$  and generates the output data  $d_{Ri}$ . The fog node  $f_{Ri}$  sends the output data  $d_{Ri}$  to the parent fog node  $f_R$ . For example,  $d_{Ri}$  is a maximum value  $d_{Rih}$  of the input data  $d_{Ri1}, ..., d_{Ril_{Ri}}$ . Then, the output module  $O_{Ri}$  sends the output data  $d_{Ri}$  to



**Fig. 2.** Model of a process  $p_{Ri}$  on a fog node  $f_{Ri}$ .

a parent fog node  $f_R$  in networks. The storage module  $S_{Ri}$  stores the input data  $d_{Ri1}, ..., d_{Ril_{Ri}}$  and output data  $d_{Ri}$  in the storage  $DB_{Ri}$ . For example, a collection of the output data  $d_{Ri}$  and input data  $d_{Ri1}, ..., d_{Ril_{Ri}}$  are buffered in the storage  $DB_{Ri}$ . If the fog node  $f_{Ri}$  fails to deliver the output data  $d_{Ri}$  to the parent  $f_R$ , the fog node  $f_{Ri}$  retransmits the data  $d_{Ri}$  which is stored in the database  $DB_{Ri}$ .

A notation |d| shows the size [bit] of data d. Thus, the size  $|d_{Ri}|$  of the output data  $d_{Ri}$  is smaller than the input data  $D_{Ri} = \{d_{Ri1}, ..., d_{Ril_{Ri}}\}, |d_{Ri}| \leq |D_{Ri}|$  $(= |d_{Ri1}| + ... + |d_{Ril_{Ri}}|)$ . The ratio  $|d_{Ri}|/|D_{Ri}|$  is the reduction ratio  $\rho_{Ri}$  of a fog node  $f_{Ri}$ . For example, let  $D_{Ri}$  be a set  $\{v_1, v_2, v_3, v_4\}$  of four numbers showing temperature obtained by child fog nodes  $f_{Ri1}, ..., f_{Ri4}$ , respectively. If the output data  $d_{Ri}$  is a maximum value v of the values  $v_1, ..., v_4$ , the reduction ratio  $\rho_{Ri}$  of the fog node  $f_{Ri}$  is  $|d_{Ri}| / |D_{Ri}| = 1/4$ . Here,  $\rho_{Ri} \leq 1$ . Suppose each of input data  $d_{Rih}$  from  $f_{Rih}$  is a sequence of values. If the output data  $d_{Ri}$  is obtained by taking the direct product of the input data  $d_{Ri1}, ..., d_{Ril_{Ri}}$ , the size  $|d_{Ri}|$  of the output data  $d_{Ri}$  is  $|d_{Ri1}| \cdot ... \cdot |d_{Ril_{Ri}}|$ . Here, the reduction ratio  $\rho_{Ri}$ is larger than 1 as shown in Fig. 3.



 $|d_{Ri}| = \rho_{Ri} \cdot (|d_{Ri1}| + \dots + |d_{Ril_{Ri}}|).$ 

Fig. 3. Fog nodes.

### 2.3 Subprocesses on Fog Nodes

Let p be a process to handle sensor data. We assume a process p is realized as a sequence of subprocesses  $p_0$ ,  $p_1$ , ...,  $p_m$  ( $m \ge 1$ ). The subprocess  $p_m$  takes sensor data from all the sensors and sends the output data to the subprocess  $p_{m-1}$ . Thus, each subprocess  $p_i$  receives input data from a preceding subprocess  $p_{i+1}$  and outputs data to a succeeding subprocess  $p_{i-1}$ , which is obtained by processing the input data. In the cloud computing model, the sequence of subprocesses  $p_0$ ,  $p_1$ , ...,  $p_m$  are performed in a server. In the TBFC model [7,9], the subprocess  $p_m$  is performed on  $k^{h-1}$ edge fog nodes of level h-1. The subprocess  $p_{m-1}$  is performed on  $k^{h-2}$  fog nodes of level h-2. Thus, each fog node  $f_{Ri}$  of level l performs the same subprocess  $p_{m-h+l+1}$  on  $k^l$  fog nodes. The subprocess  $p_{m-h+2}$  is performed on k fog nodes of level 1, one level lower than the root fog node, i.e. server  $f_0$ . A subsequence  $p_0, ..., p_{m-h}$  of subprocesses are performed on the root fog node  $f_0$  while each subprocess  $p_l$  is performed on fog nodes at a level l - m + h (for l = m - h + 2, ..., m) as shown in Fig. 4. In a tree of height h, there are totally  $(1 - k^h) / (1 - k)$  fog nodes.

Servers and devices are interconnected with networks in the cloud computing model. Here, each fog node does just the routing function. Thus, each fog node  $f_{Ri}$  is only composed of input  $I_{Ri}$  and output  $O_{Ri}$  modules. In the root node  $f_0$ , every computation on the sensor data is performed since  $f_0$  has all the subprocesses  $p_0, p_1, ..., p_m$ .



Fig. 4. Subprocesses.

## 3 Fault-Tolerant Fog Nodes

#### 3.1 Non-replication Model

In the TBFC model, if a fog node  $f_{Ri}$  gets faulty, sensor data obtained by descendant sensors and processed by descendant fog nodes of the fog node  $f_{Ri}$  are unable to be delivered to the parent fog node  $f_R$  and the ancestor fog nodes of the fog node  $f_{Ri}$ . In this paper, we propose a fault-tolerant tree-based fog computing (FTBFC) model, i.e. non-replication and replication models to make fog nodes fault-tolerant in the TBFC model.

Suppose a fog node  $f_{Rij}$  is faulty in the FTBFC model as shown in Fig.5. Here,  $f_{Ri}$  shows a parent fog node of the faulty fog node  $f_{Rij}$ . Fog nodes  $f_{Rij1}, ..., f_{Rijl_{Rij}}$  ( $l_{Rij} \ge 1$ ) are child fog nodes of the faulty fog node  $f_{Rij}$ . A fog node  $f_{Rip}$  is a child fog node where the parent fog node  $f_{Ri}$  is also the parent of the faulty fog node  $f_{Rij}$ . A fog node  $f_{Rmq}$  is a fog node which is at the same level of the faulty fog node  $f_{Rij}$ . This means, the fog nodes  $f_{Rip}$ and  $f_{Rmq}$  have the same subprocess as the faulty fog node  $f_{Rij}$ . There are the following ways to be tolerant of the faults of the fog node  $f_{Ri}$ .



Fig. 5. Non-replication FTBFC model.

- 1. Each child node  $f_{Rijk}$  sends the output data  $d_{Rijk}$  to the root node  $f_0$ , i.e. the cloud of servers [Fig. 5].
- 2. Each child node  $f_{Rijk}$  takes one fog node  $f_{Rip}$  as a new parent fog node [Fig. 5]. The fog node  $f_{Rip}$  is a child node of the parent fog node  $f_{Ri}$  of the faulty fog node  $f_{Rij}$ .
- 3. Each child node  $f_{Rijk}$  takes one fog node  $f_{Rmq}$   $(m \neq i)$  as a parent node [Fig. 5]. The fog node  $f_{Rmq}$  is at the same level as the faulty fog node  $f_{Rij}$ .
- 4. Each child fog node  $f_{Rijk}$  takes one fog node  $f_{R'}$  as a parent fog node, where  $f_{R'}$  is at the same level as  $f_{Rij}$ .
- 5. One child fog node  $f_{Rijk}$  promotes to a parent node. Here, the process is transferred to the fog node  $f_{Rijk}$  from the sibling fog node  $f_{Rip}$  [Fig. 6].

In the way 1, every subprocess is installed in the root fog node, i.e. a server in a cloud. The root node  $f_0$  can process the output data  $d_{Rijk}$  of every child fog node  $f_{Rijk}$ .

In the way 2, the fog node  $f_{Rip}$  has the same subprocess as the faulty fog node  $f_{Rij}$ . Here, the output data  $d_{Rijk}$  of every child fog node  $f_{Rijk}$  can be processed by the fog node  $f_{Rip}$  on behalf of the faulty fog node  $f_{Rij}$ .

In the way 3, the fog node  $f_{Rmq}$  has the same subprocess as the faulty fog node  $f_{Rij}$ . Differently from the way 2, the new parent fog node  $f_{Rmq}$  has a parent fog node  $f_{Rm}$  different from the fog node  $f_{Ri}$ .

In the way 4, the fog node  $f_{R'}$  is at the same level as the faulty fog node  $f_{Rij}$ . The fog node  $f_{R'}$  has the same subprocess as  $f_{Rij}$ . Let  $f_{R''}$  be a least upper bound (lub) of the faulty fog nodes  $f_{Rij}$  and  $f_{R'}$ . In the way 2,  $f_{R''}$  is  $f_{Ri}$ . In the way 3,  $f_{R''}$  is  $f_R$ .

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Fig. 6. Promotion.

In the way 5, one child fog node  $f_{Rijk}$  is promoted to a parent fog node of the other child fog nodes  $f_{Rij}$ , ...,  $f_{Rijl_{Rij}}$ . Since the fog node  $f_{Rijk}$  does not support the computation module of the faulty fog node  $f_{Rij}$ , the computation module is transmitted to the fog node  $f_{Rijk}$  from a fog node  $f_{Rip}$ . Here, the fog node  $f_{Rij}$  performs the computation modules itself and of both itself and the faulty fog node  $f_{Rij}$ .

If a fog node  $f_{Rij}$  is detected to be faulty, a new parent fog node of the child fog nodes  $f_{Rij1}, ..., f_{Rijl_{Rij}}$  has to be selected. In this paper, a new fog node is selected so that the electric energy consumption of fog nodes can be reduced. In paper [7], the electric energy consumption  $TE_{Rij}(x)$  [J] and execution time  $ET_{Rij}(x)$  [sec] of a fog node  $f_{Rij}$  to receive and process an input data  $D_{Rij}$  of size x and send the output data  $d_{Rij}$ . For example, the electric energy consumption and execution time of a new parent fog node  $f_{Rip}$  increase to  $TE_{Rip}(|D_{Rip}| + |D_{Rij}|)$  and  $ET_{Rip}(|D_{Rip}| + |D_{Rij}|)$ , respectively, in the way 2. In addition, the size of the output data  $d_{Rip}$  is  $\rho_{Rip} \cdot (|D_{Rip}| + |D_{Rij}|)$ . In the way 3, a parent fog node  $f_{Ri}$  does not receive output data  $d_{Rij}$  from the faulty fog node  $f_{Rij}$ . Hence, the electric energy consumption and execution time of the fog node  $f_{Ri}$ decrease to  $TE_{Ri}(|D_{Ri}| - |d_{Ri}|)$  and  $ET_{Ri}(|D_{Ri}| - |d_{Ri}|)$ , respectively.

#### 3.2 Replication Model

Every fog node  $f_{Ri}$  is replicated to replicas  $f_{Ri}^1, ..., f_{Ri}^{r_{Ri}}$   $(r_{Ri} \ge 1)$ . There are the following replication schemes [3].

- 1. Active replication
- 2. Passive replication
- 3. Semi-active replication
- 4. Semi-passive replication

In the active replication, every replica  $f_{Ri}^h$  receives the same input data, does the same computation, and sends the same output data.

# 4 Evaluation

We evaluate the non-replication FTBFC model in this paper. We consider a balanced binary tree with height h, i.e.  $\langle 2, h \rangle$  tree of the FTBFC model, where each fog node has 2 child fog nodes and every edge fog node is at level h-1. There are totally  $2^{h-1}$  edge fog nodes. Each edge fog node receives the same volume of sensor data. Sensor nodes totally send x to  $2^{h-1}$  edge nodes. For example, the total volume 1 [MB] (= 8,388,608 [bit]) of sensor data is sent to the edge fog nodes. Hence, each edge fog node receives sensor data of 8,388,608/ $2^{h-1}$  [bit]. In this evaluation, a process p is a sequence of subprocesses  $p_0, p_1, ..., p_m$ . The computation complexity of each subprocess is O(x) or  $O(x^2)$  for input data of size x.

In this paper, we evaluate the ways 2, 3, and 4. In the evaluation, one fog node is randomly selected to be faulty for each level k (0 < k < h - 1). Then, we calculate the total electric energy consumption and execution time of the fog nodes.

First, one fog node  $f_{Ri}$  in the tree is randomly selected as a faulty fog node. Then, we have to select a new parent fog node which is the same level of the faulty fog node  $f_{Ri}$ .

- 1. A sibling fog node  $f_{Rj}$  of  $f_{Ri}$  is selected. Since we consider a binary tree, the sibling fog node  $f_{Rj}$  is  $f_{R2}$  if  $f_{Ri}$  is  $f_{R1}$ , others  $f_{R1}$ .
- 2. A new parent fog node  $f_{R'j}$  is randomly selected in fog nodes of the same level as the faulty fog node  $f_{Ri}$ .

For a fog node  $f_{Ri}$  and a new parent fog node  $f_{R'j}$ , the total electric energy TEE and execution time TET of the fog nodes are calculated in the simulation. Figures 7 and 8 show the total electric energy TEE for height h where the selection ways of a new parent node is 1 and 2 with computation complexity



**Fig. 7.** Total electric energy consumption with computation complexity O(x) for height h.

O(x) and  $O(x^2)$  of each fog node for size x of input data, respectively. As shown in Fig. 8, the *TEE* can be reduced if a sibling fog node is taken as a new parent fog node for  $O(x^2)$ .



**Fig. 8.** Total electric energy consumption with computation complexity  $O(x^2)$  for height h.

Figures 9 and 10 show the total execution time TET of the fog nodes for height h, where the selection ways of a new parent node is 1 and 2, with computation complexity O(x) and  $O(x^2)$ , respectively. As shown in Figs. 9 and 10, the TET can be reduced if a sibling fog node is taken as a new parent fog node.



**Fig. 9.** Total execution time with computation complexity O(x) for height h.



**Fig. 10.** Total execution time with computation complexity  $O(x^2)$  for height h.

# 5 Concluding Remarks

The IoT is scalable and includes sensors and actuators in addition to servers. Processes and data are distributed to not only servers but also fog nodes in the fog computing model in order to reduce the delay time and processing overhead. In this paper, we proposed the fault-tolerant tree-based fog computing (FTBFC) model with non-replication and replication types. In the non-replication FTBFC model, another fog node which has the same subprocess as a faulty fog node supports child fog nodes of the faulty fog node. We evaluated the FTBFC model in terms of the electric energy consumption and execution time for computation complexity O(x) and  $O(x^2)$  of each fog node where x is size of input data. We showed the total electric energy consumption and total execution time can be reduced if a sibling fog node is selected as a new parent node.

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