

# Energy-aware Mixed-criticality Sporadic Task Scheduling Algorithm

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**Abstract**—The mixed-criticality system provides multiple real-time applications with different criticalities in a single system. Poor energy saving performance of the previous studies on mixed-criticality sporadic tasks are mainly due to the fact that the slack time generated from the random arrival of sporadic tasks is not taken into account. In this paper, we focus on scheduling energy aware mixed-criticality sporadic tasks and take the random arrival of sporadic tasks into account. We proposed a dynamically frequency updating mixed-criticality algorithm (DFU). DFU based on earliest deadline first scheme can exploit the slack time generated from high criticality tasks in a low criticality mode to reduce processor frequency. In addition, it also can dynamically update the utilization of sporadic tasks set to further reduce processor frequency. The simulation experiments are conducted to evaluate the performance of DFU and experimental results show that DFU consumes 34.29% less energy than that of the existing algorithms.

**Index Terms**—mixed-criticality system, sporadic task, energy-awareness, real-time scheduling

## I. INTRODUCTION

Mixed-criticality (MC) real-time system provides multiple real-time applications with different criticalities in a single system. It has been applied in many domains such as avionics, automotive and industrial standards (RTCA DO-178B) [4]. In such systems, tasks have different criticality levels. The high criticality level task must be completed correctly on time while losing a part of low criticality level task is tolerable.

Energy consumption is also very important in MC real-time systems. Actually, only a few recent studies focus on scheduling energy aware sporadic tasks in MC real-time systems. The authors in [16] first propose two speed levels ideas to reduce energy consumption in dynamic priority MC systems. But they only focus on the correction of the system. The authors in [8] first focus on scheduling energy aware sporadic tasks in MC real-time systems and propose a novel algorithm to reduce energy consumption. They expand the work given in [16] and first apply dynamic voltage and frequency scaling (DVFS) to adjust processor frequency. But

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they only exploit static slack time to reduce energy consumption, which leads to poor energy savings. The novel algorithm [9] not only exploits static slack time but also the reserved time for high criticality tasks to reduce energy consumption. But it ignores the random arrival of sporadic tasks, which can generate much slack time at run-time.

All in all, previous studies about scheduling energy aware sporadic tasks in MC real-time systems do not exploit the slack time generated from the random arrival of sporadic tasks. In this paper, we focus on scheduling energy aware MC sporadic tasks and take the random arrival of sporadic tasks into account. The main contributions are as follows:

1. A dynamically updating utilization algorithm is proposed and it can reclaim the slack time generated from the random arrival of sporadic tasks.
2. We proposed DFU based on earliest deadline first scheme, which not only exploits the slack time generated from the high criticality task in the low criticality mode, but also the slack time generated from random arrival of sporadic tasks.
3. We analyze scheduling feasibility of DFU.

The rest of this paper is organized as follows. We introduce the related work and the system model in Section II and Section III, respectively. We recap of earliest deadline first with virtual deadlines (EDF-VD) in Section IV. The proposed algorithm and simulation experiment are introduced in Section V and Section VI, respectively. Finally, we conclude with the summary in Section VII.

## II. RELATED WORK

Many researchers focus on scheduling sporadic tasks in MC systems [1-2, 17-18]. The authors in [17] first address MC scheduling problem and then propose fixed priority scheduling schemes such as partitioned criticality (PC), static mixed criticality (SMC), and adaptive mixed criticality (AMC) [2]. In addition, the authors in [1] study the schedulability analysis for fixed priority sporadic tasks scheduling in MC systems and propose the own criticality based priority (OCBP)-schedulable method. Moreover, the generalizing fixed priority scheduling based on OCBP is proposed in [18]. Furthermore, the schedulability analysis to enable integration Preemption Threshold Scheduling with MC is proposed in [19]. Note that previous studies focus on fixed priority scheduling in MC systems. The authors in [15] focus on dynamic priority scheduling in MC systems and propose an Earliest Deadline First with Virtual Deadlines algorithm (EDF-VD). The MC sporadic task with multiple virtual deadlines based on EDF-VD is studied in [20]. In addition, a new demand-based schedulability test for general MC sporadic task systems and the new deadline tightening strategy based on this test are proposed in [27]. Furthermore, the flexible mixed-criticality

(FMC) model is proposed in [21] and the authors derive a utilization-based technique based on EDF-VD to analyze the schedulability of FMC model.

Note that the above studies focus on the schedulability analysis of MC systems and do not take energy consumption into account. DVFS is a general technology to reduce energy consumption. Many researchers [12, 22-25] apply DVFS to reduce energy consumption in traditional real-time systems. The event-triggered method is proposed to reclaim the slack time generated from sporadic tasks [22]. But it ignores the static power and does not exploit the slack time generated at run-time. The algorithm based on a slack time management queue is proposed in [12]. It not only exploits the slack time generated at run-time, but also considers the general power model. The above studies do not take shared resources into account. The problem of scheduling sporadic task with shared resources is addressed and a dynamic task synchronization algorithm is proposed in [23]. It combines DVFS and dynamic power management techniques to save energy. But it ignores the energy consumption of other components. The problem of system level energy consumption consisting of processor and other components is studied in [24].

Few studies focus on scheduling energy aware sporadic tasks in MC real-time systems. The first work in [8] studies the problem of scheduling energy aware sporadic tasks in MC real-time systems. The authors formulate a convex program by integrating DVFS with a well-known MC scheduling technique and propose an optimal algorithm. But they do not exploit the reserved time for high criticality tasks to reduce energy consumption. The authors in [9] extend the work in [8] and propose a novel algorithm. It not only reclaims static slack time, but also the reserved time for high criticality tasks to save energy. In addition, the authors in [30] focus on precise scheduling of all tasks of MC model and present schedulability tests based on utilization. Moreover, a minimum necessary execution speed is determined to reduce energy consumption. However, it does not exploit the dynamic slack time generated from the random arrival of sporadic tasks at run-time. In addition, the problem of scheduling energy aware sporadic tasks in MC system on chip (SoC) has been studied in [26]. Moreover, the problem of reliability in MC systems has been addressed in [4]. In short, poor energy saving performance of previous studies on MC sporadic tasks are mainly due to the fact that the slack time generated from the random arrival of sporadic tasks is not exploited to reduce energy consumption.

### III. SYSTEM MODEL

#### A. Task Model

We consider a MC sporadic task set  $\Gamma = \{\tau_1, \tau_2, \dots, \tau_n\}$  which includes  $n$  independent sporadic tasks on a uniprocessor. Each MC sporadic task  $\tau_i$  can be described by a tuple of parameters  $(T_i, D_i, L_i, C_i(LO), C_i(HI))$ ,  $T_i$  and  $D_i$  are the minimum inter-arrival separation and a relative deadline of  $\tau_i$ , respectively.  $C_i(LO)$  and  $C_i(HI)$  is the worst case execution time (WCET) of  $\tau_i$  in a low criticality (LO) mode and in a high criticality (HI) mode, respectively.  $L_i$  is the criticality level set

of  $\tau_i$  (LO, HI, assuming a dual-criticality system). Each MC sporadic task can generate a finite number of jobs. Major notations can be found in Table 1. In this paper, we apply implicit-deadlines i.e. the relative deadline of  $\tau_i$  is equal to  $T_i$ .

If the criticality level of a task  $\tau_i$  is equal to LO, we have  $C_i(HI) = C_i(LO)$ . If the criticality level of a task  $\tau_i$  is equal to HI, we have  $C_i(LO) \leq C_i(HI)$  because the WCET in a HI mode is more conservative compared with WCET in a LO mode. In addition, we assume that the actual execution time of tasks is smaller or equal to its WCET in a LO mode. The utilization parameter is defined as follows:

$$U_y^z(\Gamma) = \sum_{\tau_i \in \Gamma \wedge L_i = y} \frac{C_i(z)}{T_i} \quad (1)$$

Where each of  $y$  and  $z$  in  $\{LO, HI\}$ . Therefore,  $U_{HI}^{LO}(\Gamma)$  means the sum of utilization of all HI tasks in  $\Gamma$  (i.e. the criticality level of a task is HI) in a LO mode.

**System behavior.** If a job of the task  $\tau_i$  ends and its execution time is greater than  $C_i(LO)$  and less than  $C_i(HI)$ , the system is in a HI mode. In addition, a job of the task  $\tau_i$  ends and its execution time does not exceed  $C_i(LO)$ , the system is in a LO mode. If a job of the task  $\tau_i$  does not complete its execution and its execution time exceeds  $C_i(HI)$ , the system is regarded as erroneous. The system is initially in a LO mode. The system will switch to a HI mode when the execution time of a task  $\tau_i$  exceeds  $C_i(LO)$ .

**Correctness criteria.** Consider MC sporadic task sets  $\Gamma = \{\tau_1, \tau_2, \dots, \tau_n\}$  including  $n$  independent sporadic tasks. The algorithm scheduling MC sporadic task sets  $\Gamma = \{\tau_1, \tau_2, \dots, \tau_n\}$  is correct if it should meet the following conditions:

- All tasks end within their deadlines and their execution time does not exceed WCET in a LO mode.
- All HI tasks end within their deadlines and their execution time does not exceed WCET in a HI mode. In addition, all LO tasks (i.e. the criticality level of a task is LO) will be dropped in a HI mode.

#### B. Power Model

The DVFS mechanism is presented in many of the modern-day processors such as Intel Xscale and AMD A86410. The processor can be operated in variable frequency levels vary the minimum frequency to the maximum frequency  $S_{\max}$ . The processor frequency is normalized with the maximum frequency. We use the state-of-the-art power model [4] as follows.

$$P = P_{ind} + P_{dynamic}^{\max} (\theta S + S^3) \quad (2)$$

Where  $P_{ind}$  is an independent-frequency power which is caused by I/O and memory operation;  $P_{dynamic}^{\max}$  is dynamic power which is caused by the circuit activity and it can be normalized to 1;  $\theta$  is the ratio of static power and dynamic

power at the maximum frequency;  $S$  is the normalized frequency. The energy consumption  $E$  during  $[t_1, t_2]$  is equal to  $E = \int_{t_1}^{t_2} P dt$ . In addition, we assume that the execution time of a task  $\tau_i$  scales linearly proportional to its normalized frequency  $S_i$  [28-29].

TABLE 1  
Symbols and notations

Notation	Description
DVFS	Dynamic voltage and frequency scaling
MC	Mixed-criticality
$T_i$	The minimum inter-arrival separation of the jobs of $\tau_i$
$D_i$	A relative deadline of $\tau_i$
WCET	Worst case execution time
LO	Low criticality
HI	High criticality
$L_i$	The criticality level set of $\tau_i$
$C_i(LO)$	The WCET of $\tau_i$ in a LO mode
$C_i(HI)$	The WCET of $\tau_i$ in a HI mode
$U_{HI}^{LO}(\Gamma)$	The sum of the utilization of HI tasks in $\Gamma$ in the LO mode
$U_{LO}^{LO}(\Gamma)$	The sum of the utilization of LO tasks in $\Gamma$ in the LO mode
$U_{HI}^{HI}(\Gamma)$	The sum of the utilization of HI tasks in $\Gamma$ in the HI mode
$\tau_{ij}$	The $j^{\text{th}}$ job of a task $\tau_i$
DFU	A dynamically frequency updating MC algorithm
$r_{ij}$	The released time of a job $\tau_{ij}$
$TS$	A subset of $\Gamma$ , the inter-arrival separation between two successive jobs of a task $\tau_i$ in $TS$ is greater than $T_i$ .
$U$	The current utilization of $\Gamma$
$S_C$	The current frequency of the current executed tasks

#### IV. RECAP OF EARLIEST DEADLINE FIRST WITH VIRTUAL DEADLINES (EDF-VD)

EDF has poor performance when it is applied to schedule MC sporadic tasks [5-6]. Therefore, the EDF-VD algorithm based on EDF is proposed to MC scheduling. The basic idea of EDF-VD is to shorten the relative deadline of HI tasks which will push HI tasks to finish earlier in a LO mode. The relative deadline of HI task  $\tau_i$  is set to  $xT_i$  in the LO mode. In addition, the relative deadline of HI task  $\tau_i$  resumes to  $T_i$  in a HI mode. The parameter  $x$  can be calculated as follows [5]:

$$x \geq \frac{1 - U_{HI}^{LO}(\Gamma)}{U_{LO}^{LO}(\Gamma)} \quad (3)$$

**Theorem 1** gives a sufficient condition that EDF-VD scheduling all HI tasks in the HI mode is feasible.

**Theorem 1 [5]:** The following condition is sufficient for ensuring that EDF-VD successfully schedules all HI tasks in the HI mode:

$$xU_{LO}^{LO}(\Gamma) + U_{HI}^{HI}(\Gamma) \leq 1 \quad (4)$$

An example which consists of two MC sporadic tasks  $\tau_1(6,6,LO,2,2)$  and  $\tau_2(8,8,HI,1,3)$  is applied to explain EDF-VD. Note that the inter-arrival separation between two successive jobs of  $\tau_i$  is fixed to  $T_i$ . In addition, the jobs of  $\tau_1$  and  $\tau_2$  are released simultaneously at time 0. According to the method in [7], we compute the parameter  $x$  which can be chosen in  $[\frac{3}{16}, 1]$ . For simplicity, the parameter  $x$  is set to 0.5.

The system is in a LO mode at the beginning. At time 0, the deadline of  $\tau_{11}$  and  $\tau_{21}$  are 6 and 4, respectively. Therefore,  $\tau_{21}$  begins to execute and finish at time 1. At time 8,  $\tau_{22}$  begins to execute and it does not end at time 9. The system switches to a HI mode and the jobs LO of  $\tau_1$  are dropped after then. Therefore,  $\tau_{22}$  ends at time 11 and  $\tau_2$  will need three time units every period. The detail scheduling can be found in Fig.1.

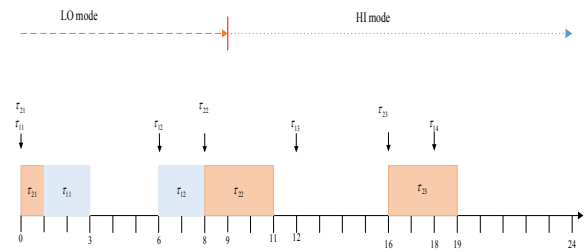


Fig.1. An example of EDF-VD

#### V. THE PROPOSED ALGORITHM

In this section, we introduce the motivational example and problem definition in Section V.A. The dynamically updating utilization algorithm and DFU are presented in Section V.B and Section V.C, respectively.

##### A. Motivational Example and Problem Definition

Some researchers [8-9] focus on power-aware MC sporadic tasks scheduling based on EDF-VD. The inter-arrival separation between two successive jobs of  $\tau_i$  is fixed to  $T_i$  in these studies. In fact, it can be larger than  $T_i$ , which leads to calculated frequency is larger than the required frequency. Therefore, there is still much room to further reduce energy consumption, which is exemplified in Table 2 through three MC sporadic tasks.

TABLE 2  
THE PARAMETER OF MC SPORADIC TASKS

Tasks	$T_i$	$D_i$	$L_i$	$C_i(LO)$	$C_i(HI)$
$\tau_1$	6	6	LO	2	2
$\tau_2$	8	8	HI	2	3
$\tau_3$	16	16	LO	4	4

We assume that the job of  $\tau_1$  is released at time 0, 10, 20, 32, 42. The job of  $\tau_2$  is released at time 0, 12, 20, 34, 42. The job of  $\tau_3$  is released at time 0, 24. In addition, we assume that the processor can provide continuous frequencies in  $[0.3, 1]$ . The

example is scheduled in  $[0, 48]$  and two power-aware MC sporadic tasks algorithms are applied to explain that there is much room to further reduce energy consumption.

**Algorithm A [8]** A static optimal solution algorithm—The HI task runs at the frequency  $S_{HI}$  in a LO mode and the frequency  $S_{max}$  in a HI mode, and the LO task runs at the frequency  $S_{LO}$  in LO modes.

**Algorithm B [9]** A dynamic solution algorithm—The HI task runs at the frequency  $S_{HI}$  in the LO mode and the frequency  $S_{max}$  in the HI mode, and the LO task runs at the frequency  $S_{LO}$  in the LO mode. But the frequency  $S_{HI}$  and  $S_{LO}$  are not fixed. They dynamically change according to the completion of HI tasks.

According to **Algorithm A [8]**, we compute  $x = 0.875$ ,  $S_{LO} = 0.86$ , and  $S_{HI} = 0.90$ . The MC sporadic task in Table 2 is scheduled by **Algorithm A** with the scheduling result shown in Fig.2.

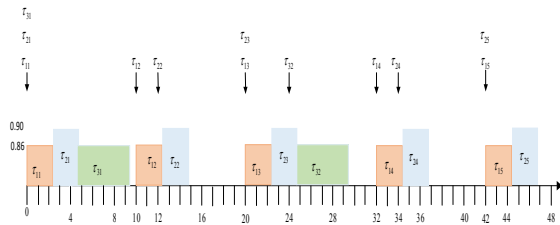


Fig.2. An example of Algorithm A in a LO mode.

**Algorithm B [9]** first applies the parameter  $x$ ,  $S_{LO}$ , and  $S_{HI}$  given in **Algorithm A** to dynamically compute the frequency of both LO tasks and HI tasks. Therefore, the parameter  $x$ ,  $S_{LO}$ , and  $S_{HI}$  are equal to 0.875, 0.86, and 0.90, respectively. When  $\tau_{21}$  finishes at time 2.33, the frequency  $S_{LO}$  and  $S_{HI}$  are updated as 0.83 and 0.86, respectively. The MC sporadic task in Table 2 is scheduled by **Algorithm B** with the scheduling result shown in Fig.3.

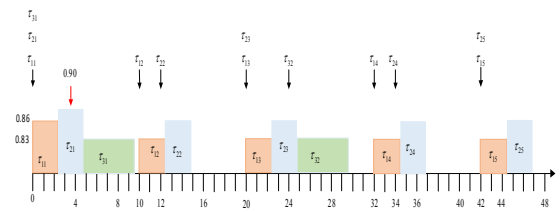


Fig.3. An example of Algorithm B in a LO mode.

As shown in Fig.2, there are some idle intervals such as  $[9.20, 10]$ ,  $[14.55, 20]$ ,  $[29.20, 32]$ ,  $[36.55, 42]$ , and  $[46.55, 48]$ . Moreover, there are also some idle intervals  $[9.37, 10]$ ,  $[14.74, 20]$ ,  $[29.56, 32]$ ,  $[36.74, 42]$ , and  $[46.74, 48]$  in Fig.3. The main reason of such idle intervals is that the inter-arrival separation between two successive jobs a MC sporadic task  $\tau_i$  is larger than  $T_i$ , which leads to lower actual utilization of task  $\tau_i$  compared with the utilization used in **Algorithm A [8]** and **Algorithm B [9]**. Therefore, we can exploit these idles intervals to further reduce energy consumption.

**Problem Definition.** Given MC sporadic task sets  $\Gamma = \{\tau_1, \tau_2, \dots, \tau_n\}$  scheduled by EDF-VD, we should decide the parameter  $x$ ,  $S_{LO}$ ,  $S_{HI}$  and reclaim the slack time generated from the random arrival of sporadic tasks to dynamically compute  $S_C$  to reduce energy consumption in LO mode while meeting **Correctness criteria** of scheduling MC sporadic tasks.

### B. The Dynamically Updating Utilization Algorithm

#### Updating Utilization

1. When  $\tau_i$  releases a job and  $\tau_i \in TS$
2. If  $L_i = LO$  then
3.  $U = U + (C_i(LO) / S_{LO}) / T_i$
4. Else if the job of  $\tau_i$  ends before current time
5.  $U = U + (C_i(LO) / S_{HI}) / T_i$
6. Else
7.  $U = U + (C_i(LO) / S_{HI}) / T_i + (C_i(HI) - C_i(LO)) / T_i$
8.  $\tau_i$  is removed from  $TS$ .
9. When  $\tau_i$  does not release a new job at time  $r_i + T_i$  and  $\tau_i \notin TS$ 
  - //  $r_i$  is the released time of previous job of  $\tau_i$
10. If  $L_i = LO$  then
11.  $U = U - (C_i(LO) / S_{LO}) / T_i$
12. Else if the job of a task  $\tau_i$  ends before current time
  - $U = U - (C_i(LO) / S_{HI}) / T_i$
13. Else
14.  $U = U - (C_i(LO) / S_{HI}) / T_i - (C_i(HI) - C_i(LO)) / T_i$
15.  $\tau_i$  is added to  $TS$ .
16. When the processor in an idle mode
17.  $TS$  is set as  $\Gamma$  and  $U = 0$
18. If  $U < 0$  then
19.  $U = 0$

We use a similar method given in [11-13] to dynamically update the utilization of MC sporadic task set  $\Gamma$ . It updates according to whether the tasks release jobs. When the task first releases a job, the utilization of MC sporadic task set  $\Gamma$  increases. The dynamically updating utilization algorithm is presented by **Updating Utilization**.

We update the utilization of  $TS$  according to **Updating Utilization**. If a new job of  $\tau_i$  is released and it belongs to  $TS$ , we increase the utilization of  $TS$  and remove it from  $TS$  (line 1-8, **Updating Utilization**). Note that when the HI task  $\tau_i$  has finished before current time, the increasing utilization of  $TS$  will be  $(C_i(LO) / S_{LO}) / T_i$  (line 4-5, **Updating Utilization**). When  $\tau_i$  does not release a new job at time  $r_i + T_i$  and it does not belong to  $TS$ , we decrease the utilization of  $TS$  and add it to  $TS$  (line 9-15, **Updating Utilization**). When no jobs wait to be scheduled i.e. the processor is in an idle mode,  $TS$  is set as  $\Gamma$  and  $U$  is set as 0 (line 16-17, **Updating Utilization**).

### C. The DFU Algorithm

There are two queues for DFU. Ready queues include currently activated jobs which wait to execute on the processor. Delay queues include the completed jobs or unreleased jobs [10]. At the beginning, all jobs do not be released and they are put into the delay queue. When the job of a task is released, it is moved from delay queues to ready queues. In the initialization step, DFU should compute the parameter  $x$ ,  $S_{LO}$ , and  $S_{HI}$  through **Algorithm A** [8].

When the job of a LO task  $\tau_i$  is released at time  $t$ , its deadline is set as  $t + T_i$  in both modes. In addition, when the job of a HI task  $\tau_i$  is released at time  $t$ , its deadline is set as  $t + xT_i$  in a LO mode and  $t + T_i$  at a HI mode, respectively. Released jobs are put into the ready queues to execute. The jobs are scheduled by EDF in the ready queue.

The detail description of DFU is provided in **Scheduling, Updating Utilization and Select Frequency**.

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#### Scheduling

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1. Calculate the parameter  $x$ ,  $S_{LO}$ , and  $S_{HI}$  and set  $TS = \Gamma, U = 0$ .
  2. Set ready queue into the empty list and all unreleased jobs are put into the delay queue.
  3. If a task releases a job, put it into a ready queue according to EDF.
  4. The highest priority task  $\tau_j$  is scheduled.
  5. Apply **Updating Utilization** and **Select Frequency** to dynamically determine the frequency of a task  $\tau_j$  ( $S_C$ ).
  6. If the execution time of  $\tau_j$  exceeds  $C_j(LO)/S_C$ , the system is changed to a HI mode.
  7. If a job of  $\tau_j$  finishes its execution.
  8. a job of  $\tau_j$  is removed from a ready queue and put into the delay queue.
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Before scheduling MC sporadic task sets, we first calculate the parameter  $x$ ,  $S_{LO}$ , and  $S_{HI}$ . In addition, we set  $TS = \Gamma, U = 0$  (line 1, **Scheduling**). Moreover, the ready queue and delay are set to the empty list (line 2, **Scheduling**). When  $\tau_i$  release a job, it will be put into the ready queue according to EDF and the highest priority task  $\tau_j$  will be first scheduled (line 3-4, **Scheduling**). We should dynamically determine the frequency of  $\tau_j$  by **Updating Utilization** and **Select Frequency** (line 5, **Scheduling**). When the execution time of  $\tau_j$  exceeds  $C_j(LO)/S_C$ , the system will switch to a HI mode (line 6, **Scheduling**). When a job of  $\tau_j$  finishes its execution, it will be put into the delay queue (line 7-8, **Scheduling**).

We determine the frequency according to **Select Frequency**. The reservation time for HI tasks can be freed in a LO mode when the job of HI tasks finishes (line 1-2, **Select Frequency**). When the system is in a HI mode, the frequency will be set as  $S_{max}$  to ensure that the HI task will end within its deadline (line 5-6, **Select Frequency**). Note that there are no LO tasks in a HI

mode. The frequency of LO tasks and HI tasks is determined (line 7-12, **Select Frequency**).

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#### Select Frequency

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1. If the first job of a HI task  $\tau_i$  finishes its execution
  2.  $U = U - (C_i(HI) - C_i(LO)) / T_i$
  3. If  $U > 1$  then
  4.  $U = 1$
  5. If the system is in a HI mode then
  6.  $S_C = S_{max}$
  7. Else if the task is a LO task
  8.  $S_C = U * S_{LO}$
  9. Else
  10.  $S_C = U * S_{HI}$
  11. If  $S_C < S_{min}$
  12.  $S_C = S_{min}$
- 

The time complexity of **Scheduling, Updating Utilization, Select Frequency** is  $O(n \log n)$ ,  $O(1)$  and  $O(1)$ , respectively.

Therefore, the time complexity of DFU is  $O(n \log n)$ .

#### 1) Example of DFU

The MC sporadic task in Table 2 is scheduled by DFU in [0, 48]. We assume that the job of a task  $\tau_1$  is released at time 0, 10, 20, 32, 42. The job of a task  $\tau_2$  is released at time 0, 12, 20, 34, 42. The job of a task  $\tau_3$  is released at time 0, 24. The parameter  $x$ ,  $S_{LO}$ , and  $S_{HI}$  are equal to 0.875, 0.86 and 0.90, respectively. In addition, we assume that the processor can provide continuous frequencies in [0.3, 1]. At time 0, jobs of  $\tau_1, \tau_2$  and  $\tau_3$  are released simultaneously. Therefore,  $\tau_{11}$  executes with the frequency of 0.86 and ends at time 2.33. At the same time, the job  $\tau_{21}$  executes with the frequency of 0.90 and ends at time 4.55. Due to  $\tau_{21}$  is the first job of a HI task  $\tau_2$ , the utilization of  $\Gamma$  decreases (line 1-2, **Select Frequency**). Therefore,  $\tau_{31}$  begins to execute with the frequency of 0.83. At time 6, the utilization of  $\Gamma$  decreases due to  $\tau_1$  does not release a job and it does not belong to  $TS$ . Therefore,  $\tau_{31}$  executes with the frequency of 0.49. In addition, the utilization of  $\Gamma$  decreases at time 8. Thus,  $\tau_{31}$  executes with the frequency of 0.30. Moreover, the utilization of  $\Gamma$  increases at time 10. Therefore,  $\tau_{31}$  executes with the frequency of 0.58. In addition, the utilization of  $\Gamma$  increases at time 12. Thus,  $\tau_{31}$  and  $\tau_{12}$  execute with the frequency of 0.83 and end at time 12.06 and 14.47, respectively.  $\tau_{22}$  executes with the frequency of 0.86 at time 14.47. In addition, the utilization of  $\Gamma$  decreases at time 16.  $\tau_{22}$  executes with the frequency of 0.34 and ends at time 18. At time 20,  $\tau_{13}$  executes with the frequency of 0.58 and ends at time 23.45. At the same time,  $\tau_{23}$  executes with the frequency of 0.61. At time 24,  $\tau_3$  releases a job and it belongs to  $TS$ .

Therefore,  $\tau_{23}$  executes with the frequency of 0.86 and it ends at time 25.93.  $\tau_{32}$  executes with the frequency of 0.83 and 0.49 at time 25.93 and 26, respectively. In addition,  $\tau_{32}$  executes with the frequency of 0.30. At time 32,  $\tau_{14}$  executes with the frequency of 0.58. In addition,  $\tau_{14}$  and  $\tau_{32}$  execute with the frequency of 0.83 and end at time 35.01 and 37.13, respectively.  $\tau_{24}$  executes with the frequency of 0.86 and ends at time 37.13. The final scheduling result can be found in Fig.4.

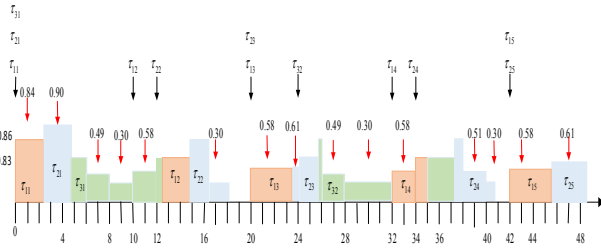


Fig.4. An example of the DFU algorithm a LO mode.

The red arrow and black arrow stand for the frequency and the arriving time of job, respectively. We assume that  $P_{ind} = 0.1$ .  $P_{dynamic}^{max}$  is normalized to 1 and the  $\theta$  is set to 0.2 in (2) [4]. In addition, the power is equal to 0.1 when the processor is in an idle status. The energy consumption of **Algorithm A** in Fig.2 and **Algorithm B** in Fig.3 is 32.14 and 30.46, respectively. The energy consumption of DFU is 24.36. Therefore, DFU can save energy up to 24.21% and 20.02% compared with **Algorithm A** and **Algorithm B**, respectively.

### 2) Schedulability analysis

DFU applies the EDF policy to schedule MC sporadic task sets  $\Gamma$ . If the utilization of  $\Gamma$  is lower or equal to 1, the EDF policy scheduling  $\Gamma$  is feasible. Therefore, we should prove that the utilization of  $\Gamma$  is lower or equal to 1 with dynamically frequency  $S_C$  for DFU. The following **Theorem 2** will prove that DFU scheduling  $\Gamma$  is feasible.

**Theorem 2.** If the utilization of  $\Gamma$  is lower or equal to 1 with the maximum frequency, DFU scheduling  $\Gamma$  with dynamically frequency  $S_C$  is feasible.

**Proof:** Let  $\beta_S = \{\beta_{S1}, \beta_{S2}, \dots, \beta_{Sm}\}$  be the frequency changed intervals where  $\beta_{Si}$  is the intervals between the end of idle intervals and the beginning of the next idle interval.  $\beta_S$  is equal to the hyper-period of  $\Gamma$  if there are no idle intervals ( $\Gamma$  is scheduled in the hyper-period). In addition, we denote  $f_S = \{f_{S1}, f_{S2}, \dots, f_{Sm}\}$  as the set of all frequencies corresponding to the time intervals in  $\beta_S$ . Moreover, we denote  $a_U = \{a_{u1}, a_{u2}, \dots, a_{um}\}$  as the set of the utilization of  $TS$  corresponding to the time intervals in  $\beta_S$ . Furthermore, let  $U_{Si}$  be the utilization of the interval  $\beta_{Si}$  with the frequency of  $f_{Si}$ .  $U_{Si}$  can be computed as follows:

$$U_{Si} = \sum_{i=1, \tau_i \in TS} \frac{C(Si)}{T_i} \quad (5)$$

Where  $C(Si)$  is the execution time of  $\tau_i$  with the frequency of  $f_{Si}$  and it can be computed as follows:

$$C(Si) = \begin{cases} C_i(LO) / (a_{ui} \cdot S_{LO}), L_i = LO \\ C_i(LO) / (a_{ui} \cdot S_{HI}), L_i = HI \end{cases} \quad (6)$$

From (5) and (6), we have

$$U_{Si} = \frac{1}{a_{ui}} \left( \sum_{i=1, \tau_i \in TS \wedge L_i = LO} \frac{C_i(LO)}{T_i \cdot S_{LO}} + \sum_{i=1, \tau_i \in TS \wedge L_i = HI} \frac{C_i(LO)}{T_i \cdot S_{HI}} \right) \quad (7)$$

The task set  $TS$  includes all tasks which do not release jobs at their minimum inter-arrival separation before the beginning of the interval  $\beta_{Si}$ . Therefore,  $a_{ui}$  can be computed in (8).

$$a_{ui} = \begin{cases} \sum_{i=1, \tau_i \in TS \wedge L_i = LO} \frac{C_i(LO)}{T_i \cdot S_{LO}} + \sum_{i=1, \tau_i \in TS \wedge L_i = HI} \frac{C_i(LO)}{T_i \cdot S_{HI}} & \text{(if a HI task } \tau_i \text{ has finished its first job)} \\ \sum_{i=1, \tau_i \in TS \wedge L_i = LO} \frac{C_i(LO)}{T_i \cdot S_{LO}} + \sum_{i=1, \tau_i \in TS \wedge L_i = HI} \left( \frac{C_i(LO)}{T_i \cdot S_{HI}} + \frac{C_i(HI) - C_i(LO)}{T_i} \right) & \text{(if a HI task } \tau_i \text{ doesn't finish its first job)} \end{cases} \quad (8)$$

The execution time will decrease when the frequency becomes larger. The value of  $a_{ui}$  is larger when a HI task  $\tau_i$  does not finish its first job from (8). The algorithm is feasible if we apply smaller  $a_{ui}$  to calculate the frequency. Therefore, the algorithm must be feasible if we use larger  $a_{ui}$  to calculate the frequency. Thus, we will use following  $a_{ui}$ .

$$a_{ui} = \sum_{i=1, \tau_i \in TS \wedge L_i = LO} \frac{C_i(LO)}{T_i \cdot S_{LO}} + \sum_{i=1, \tau_i \in TS \wedge L_i = HI} \frac{C_i(LO)}{T_i \cdot S_{HI}} \quad (9)$$

Applying (9) to (7), we have

$$U_{Si} = 1 \quad (10)$$

The total utilization of time intervals  $\beta_S$  can be computed as a sum of the ratio of products of the utilizations over subintervals times and the interval to the sum of all intervals. It can be calculated as following:

$$U_{\beta_S} = \frac{\sum_{j=1}^m \beta_{Sj} \cdot U_{Sj}}{\sum_{k=1}^m \beta_{Sk}} \quad (11)$$

Due to  $\sum_{k=1}^m \beta_{Sk}$  is a constant, we have

$$U_{\beta_S} = \frac{1}{\sum_{k=1}^m \beta_{Sk}} \sum_{j=1}^m \beta_{Sj} \cdot U_{Sj} \quad (12)$$

Due to  $U_{Si} = 1$ , we have  $U_{\beta_S} = 1$ . Therefore, **Theorem 2** is proved.

### 3) Discussion of the overhead of changing frequency

In this section, we will discuss the overhead of changing frequency. It will generate the time and power overhead when the processor frequency changes. According to [31], the time overhead of changing frequency is equal to  $K \cdot |S_i - S_j|$ , where  $K$  is a constant,  $S_i$  and  $S_j$  is currently frequency and changed frequency, respectively.

Let  $O_i$  be the time overhead of changing frequency for  $\tau_i$ .  $O_i$  is variable and it is determined by the frequency of  $\tau_i$ . Different jobs of  $\tau_i$  may have different frequencies during their execution. Therefore,  $O_i$  may differ for the jobs of  $\tau_i$ .

The frequency only changes in scheduling point. The so-called scheduling point is the time that the task completes its execution, released jobs, and the job does not be released after the minimum inter-arrival separation. Specially, the frequency is determined by **Updating Utilization**.

We build a queue called  $\alpha$  queue to exactly compute  $O_i$ .  $\alpha$  queue not only records the frequency for the jobs of  $\tau_i$  in the scheduling point at run-time, but also includes the minimum inter-arrival separation, a relative deadline, WCET in a LO mode and in a HI mode.

When we take the overhead of changing frequency into account, the utilization of task will increase and the slack time will decrease. Therefore, the WECT of  $\tau_i$  in a LO and a HI mode is equal to  $C_i(LO)+O_i$  and  $C_i(HI)+O_i$ , respectively. When the job of  $\tau_i$  completes its execution, we then apply  $C_i(LO)+O_i$  and  $C_i(HI)+O_i$  to instead of WCET in a LO mode and a HI mode for the next job of  $\tau_i$ , respectively.

We apply the same example in Fig.4 to explain DFU while taking the overhead of changing frequency into account. In addition, we assume that  $K=0.1$  [31]. Before scheduling,  $O_1=O_2=O_3$ . At time 0,  $\tau_{11}$  executes with the frequency of 0.86 and ends at time 2.33. We compute  $O_1=0.014$ . At the same time, the job  $\tau_{21}$  executes with the frequency of 0.90 and ends at time 4.55. We compute  $O_2=0.004$ . Therefore, we add this overhead to re-compute frequency.  $\tau_{31}$  begins to execute with the frequency of 0.83. At time 6, it executes with the frequency of 0.49. In addition, it executes with the frequency of 0.30 and 0.58 at time 8 and 10, respectively. Moreover, it executes with the frequency of 0.83 at time 12 and ends at time 12.06. We compute  $O_3=0.113$ .  $\tau_{12}$  executes with the frequency of 0.83 and ends 14.47. Then, we compute  $O_1=0$ .  $\tau_{22}$  executes with the frequency of 0.86 at time 14.47. It executes with the frequency of 0.34 at time 16 and ends at time 18. We compute  $O_2=0.055$ . At time 20,  $\tau_{13}$  executes with the frequency of 0.58 and ends at time 23.45. We compute  $O_1=0.024$ . At the same time,  $\tau_{23}$  executes with the frequency of 0.61. It executes with the frequency of 0.88 at time 24 and ends at time 25.89. We compute  $O_2=0.03$ .  $\tau_{32}$  executes with the frequency of 0.84 at time 25.89. In addition, it executes with the frequency of 0.50 and 0.30 at time 26 and 28, respectively. At time 32,  $\tau_{14}$  executes with the frequency of 0.59. In addition, it executes with the frequency of 0.84 and ends at time 34.98. We compute  $O_1=0.054$ .  $\tau_{32}$  executes with the frequency of 0.84 at time 34.98 and ends at time 37.02. We compute  $O_3=0.058$ .  $\tau_{24}$  executes with the frequency of 0.88 and 0.53 at time 37.02 and 38, respectively. At time 40, it executes with the frequency of 0.30 and ends at time 40.27. We compute

$O_2=0.062$ .  $\tau_{15}$  executes with the frequency of 0.58 at time 42 and ends at time 45.45. We compute  $O_1=0.028$ .  $\tau_{25}$  executes with the frequency of 0.61 at time 45.45 and ends at time 48.73. We compute  $O_2=0.003$ .

The energy overhead of changing frequency is 0.05. The energy consumption of DFU while considering the overhead of changing frequency is 24.65. Therefore, the total energy consumption of DFU is 24.70. DFU can save energy up to 23.15% and 18.91% compared with **Algorithm A** and **Algorithm B**, respectively.

#### 4) A real-world application

In this section, we discuss the application of DFU in a real-world. Flight Management System (FMS) is an MC system in a real-world. FMS includes the localization and flight plan tasks (DO-178B level B and level C, where B corresponds to the HI criticality and C corresponds to the LO criticality) [32]. In fact, FMS includes seven HI tasks and four LO tasks. In addition, the tasks of FMS are also sporadic tasks with implicit-deadlines, which is consistent with the task model in this paper. Moreover, the processor for FMS only provides discrete frequencies. However, DFU assumes that the processor can provide continuous frequencies. If the processor for FMS does not provide frequency given by DFU, we can apply the next higher frequency or two adjacent frequencies to solve this problem. Therefore, DFU can easily apply to FMS.

## VI. SIMULATION EXPERIMENT

We apply extensive simulation experiment to evaluate the effectiveness of our proposed approach. The simulation experiments are performed on a MC sporadic task scheduling simulator written by C language and based on the EDF policy. Three algorithms are implemented in the simulator.

**Algorithm A [8]**. A static optimal solution algorithm.

**Algorithm B [9]**. A dynamic solution algorithm.

**DFU**. It can dynamically compute  $S_{LO}$  and  $S_{HI}$ . In addition, it can exploit the time reservation for HI tasks in the LO mode.

Based on the well-known MC task generation scheme in [3, 8, 14]. The uniform distribution method is applied to generate a synthetic random task set. The synthetic random task set includes two LO tasks and two HI tasks [8]. The minimum inter-arrival separation of the jobs of  $\tau_i$  (i.e.  $T_i$ ) can be randomly chosen within the range of [10,100].  $C_i(LO)$  is randomly chosen within the range of [1,  $T_i$ ].  $C_i(HI)$  is equal to  $C_i(LO)$  for all LO tasks.  $C_i(HI)$  is randomly chosen within the range of [ $C_i(LO), T_i$ ] for all HI tasks. The value of  $U_{LO}^{LO}(\Gamma)$ ,  $U_{HI}^{LO}(\Gamma)$ , and  $U_{HI}^{HI}(\Gamma)$  does not exceed the given value by modifying  $C_i(LO)$  and  $C_i(HI)$ . In addition, we generate 100 synthetic random task sets and measure average energy consumption. Moreover, we set the simulation time to  $10^6$  time units because different task sets have different hyper-periods. We assume that  $P_{ind}=0.1 \cdot P_{dynamic}^{max}$  is normalized to 1 and the  $\theta$  is set to 0.2 in (2) [4]. In addition, we will analyze different static power situations affect our algorithm by modifying the value of  $\theta$ . Note that we focus on the energy consumption of the algorithm in the LO mode. Moreover, the energy

consumption of **Algorithm A** is applied as baseline i.e. the energy consumption of other algorithm is normalized with **Algorithm A**.

### A. Effect of $U_{LO}^{LO}(\Gamma)$

$U_{HI}^{HI}(\Gamma)$  is fixed to 0.5 and the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  is set to 1.3. We vary  $U_{LO}^{LO}(\Gamma)$  from 0.05 to 0.45, stepped by 0.05 and investigate  $U_{LO}^{LO}(\Gamma)$  affecting on the energy consumption of the algorithm. The experimental result is shown in Fig.5.

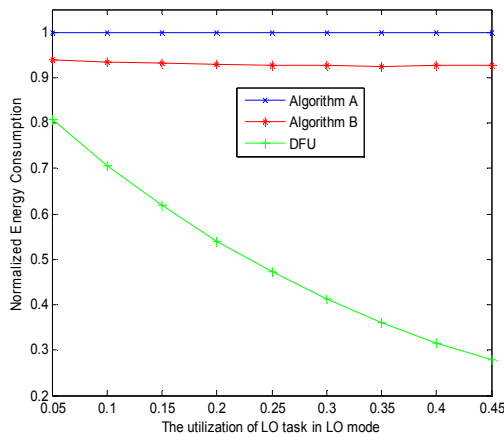


Fig.5. The effect of  $U_{LO}^{LO}(\Gamma)$

The normalized energy consumption of **Algorithm B** is not sensitive to  $U_{LO}^{LO}(\Gamma)$  and the normalized energy consumption of DFU is highly dependent on  $U_{LO}^{LO}(\Gamma)$  in Fig.5. The energy consumption of all algorithms is positively related to  $U_{LO}^{LO}(\Gamma)$ . As  $U_{LO}^{LO}(\Gamma)$  increases, the energy consumption of **Algorithm A** and **Algorithm B** grows with similar magnitude, whereas DFU grows much slower. In addition, we normalize energy consumption with respect to the energy consumption of **Algorithm A**. Furthermore, the normalized energy consumption of DFU and **Algorithm B** is less than that of **Algorithm A**. DFU consumes 46.20% less energy than that of **Algorithm B**.

### B. Effect of $U_{HI}^{HI}(\Gamma)$

$U_{LO}^{LO}(\Gamma)$  is fixed to 0.3 and the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  is set to 1.3. We vary  $U_{HI}^{HI}(\Gamma)$  from 0.05 to 0.6, stepped by 0.05 and investigate  $U_{HI}^{HI}(\Gamma)$  affecting on the energy consumption of the algorithm. The experimental result is shown in Fig. 6.

The normalized energy consumption of **Algorithm B** and DFU is sensitive to  $U_{HI}^{HI}(\Gamma)$  in Fig.6. As  $U_{HI}^{HI}(\Gamma)$  increases, the energy consumption of **Algorithm B** and DFU decreases. This is because the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  is fixed and the slack time will increase when  $U_{HI}^{HI}(\Gamma)$  increases. **Algorithm B** and DFU can exploit this slack time to reduce processor frequency. In addition, the normalized energy consumption of DFU is lower than that of **Algorithm B** and **Algorithm A**. The reason is that DFU can dynamically update the utilization of  $\Gamma$

to dynamically reduce processor frequency. DFU consumes 34.29% less energy than that of **Algorithm B**.

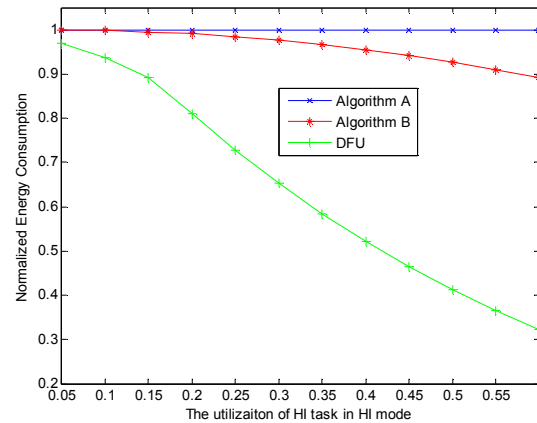


Fig.6. The effect of  $U_{HI}^{HI}(\Gamma)$

### C. Effect of the ratio of $U_{HI}^{HI}(\Gamma)$ and $U_{HI}^{LO}(\Gamma)$

$U_{LO}^{LO}(\Gamma)$  and  $U_{HI}^{HI}(\Gamma)$  are fixed to 0.4 and 0.5, respectively. We vary the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  from 1.1 to 1.9, stepped by 0.1 and investigate the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  affecting on the energy consumption of the algorithm. The experimental result is shown in Fig. 7.

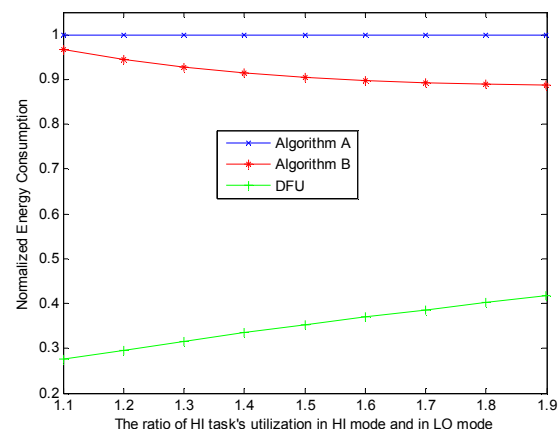


Fig.7. The effect of the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$

The normalized energy consumption of **Algorithm B** and DFU is sensitive to the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  in Fig.7. As the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  increases, the normalized energy consumption of **Algorithm B** decreases. This is because  $U_{HI}^{HI}(\Gamma)$  is fixed and  $U_{HI}^{LO}(\Gamma)$  decreases when the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  increases. Therefore, the execution time of tasks will decrease in the LO mode and **Algorithm B** can exploit slack time generated from HI tasks to dynamically reduce processor frequency. In addition, the normalized energy consumption of **Algorithm B** increases when the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{HI}^{LO}(\Gamma)$  increases. The energy consumption of DFU decreases much slower than that of **Algorithm A**. In addition, we



normalize energy consumption with respect to the energy consumption of **Algorithm A**. Moreover, the dynamically utilization of  $\Gamma$  changes slowly, which leads to slowly changing of processor frequency. In short, DFU consumes 61.51% less energy than that of **Algorithm B**.

#### D. Effect of static power

$U_{LO}^{LO}(\Gamma)$  and  $U_{HI}^{HI}(\Gamma)$  are fixed to 0.3 and 0.6, respectively. In addition, the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{LO}^{LO}(\Gamma)$  is fixed to 1.3. We vary the ratio of static power and dynamic power from 0.2 to 0.55, stepped by 0.05 and investigate the ratio of static power and dynamic power affecting on the energy consumption of the algorithm. Moreover, the energy consumption is normalized with respect to **Algorithm A** when the ratio of static power and dynamic power is equal to 0.55. The experimental result is shown in Fig.8.

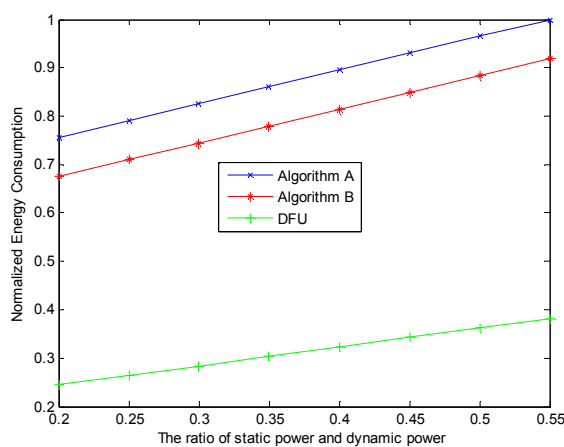


Fig. 8. The effect of static power

As shown in Fig.8, the normalized energy consumption of algorithms increases when the ratio of static power and dynamic power increases. The reason is that the execution time of tasks and processor frequency do not change. The energy consumption is determined by the execution time of tasks, processor frequency, and power. The power will increase when the ratio of static power and dynamic power increases. Therefore, the energy consumption will increase. In this case, DFU consumes 60.87% less energy than that of **Algorithm B**.

#### E. Consider the overhead of changing frequency

$U_{HI}^{HI}(\Gamma)$  is fixed to 0.5 and the ratio of  $U_{HI}^{HI}(\Gamma)$  and  $U_{LO}^{LO}(\Gamma)$  is set to 1.3. We vary  $U_{LO}^{LO}(\Gamma)$  from 0.05 to 0.45, stepped by 0.05. In addition, the energy consumption of DFU is used as baseline. The energy consumption of DFU while considering the overhead of changing frequency is represented as DFUO in Fig.9. The experimental result is shown in Fig. 9.

As shown in Fig.9, the normalized energy consumption of DFUO is higher than that of DFU. This is because DFUO considers the overhead of changing frequency. In addition, the DFUO has little added energy consumption compared with DFU. It means that the overhead of changing frequency has a small impact on the performance of DFU. In addition, the overhead of changing frequency is determined by the frequency of DFU. The frequency of DFU is determined by the utilization

of tasks. When  $U_{LO}^{LO}(\Gamma)$  varies, the overhead of changing frequency also changes. All in all, DFUO has good energy saving performance compared with previous studies.

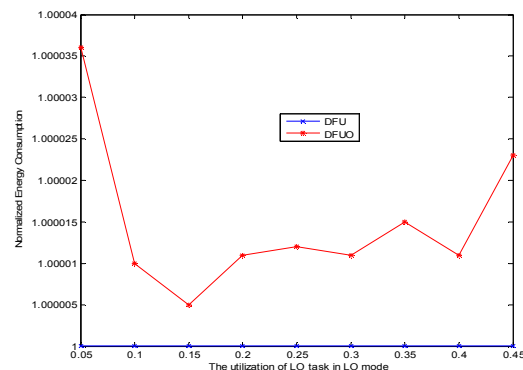


Fig.9. Consider the overhead of changing frequency

## VII. CONCLUSION

We focus on scheduling energy aware MC sporadic tasks. Firstly, we propose DFU. It based on EDF scheme can exploit the slack time generated from the HI task in the LO mode to reduce processor frequency. In addition, it also can dynamically update the utilization of  $\Gamma$  to further reduce processor frequency. Secondly, we analyze the scheduling feasibility of DFU. Finally, the simulation experiments are conducted to evaluate the performance of DFU. The experimental results show that DFU has better energy saving effects than other algorithms.

DFU assumes that the MC sporadic tasks are independent. We will focus on the dependent MC sporadic tasks in the future work.

## ACKNOWLEDGMENT

This work has been supported by the Natural Science Foundation of Fujian Province of china under Grant 2019J01080.

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