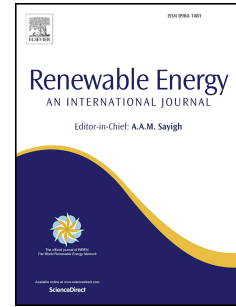


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Identification of Unknown Parameters of a Single Diode Photovoltaic Model Using Particle Swarm Optimization with Binary constraints

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Abstract-Photo-voltaic (PV) is a static medium to convert solar energy directly into electricity. In order to predict the performance of a PV system before being installed, a reliable and accurate model design of PV systems is essential. To validate the design of a PV system like maximum power point (MPP) and micro-grid system through simulation, an accurate solar PV model is required. However, information provided by manufacturers in data sheets is not sufficient for simulating the characteristic of a PV module under normal as well as under diverse environmental conditions. In this paper, a particle swarm optimization (PSO) technique with binary constraints has been presented to identify the unknown parameters of a single diode model of solar PV module. Multi-crystalline and mono-crystalline technologies based PV modules are considered under the present study. Based on the results obtained, it has been found that PSO algorithm yields a high value of accuracy irrespective of temperature variations.

Keywords -Photovoltaic (PV) model, maximum power point (MPP), binary constraints, particle swarm optimization (PSO).

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Nomenclature

a_{min}	minimum value of ideality factor
a_{max}	maximum value of ideality factor
b	index of the best individual in population
c_1 and c_2	acceleration factor
D	component of each individual of population
$f(.)$	objective function to be evaluated
F_i^k	value of objective function for i^{th} individual of population at iteration k
Gbest ^{k}	the global best individual of population up to iteration k
$Gbest_j^k$	j^{th} component of the best individual of population up to iteration k
i	individuals of population $i \in \{1, 2, \dots, N\}$
j	components of an individual $j \in \{1, 2, \dots, D\}$
k	iteration counter ($k \in \{1, 2, \dots, \text{Maxite}\}$)
Maxite	maximum number of iterations
N	population size
Pbest ^{k} _{i}	personal best of i^{th} individual of population up to iteration k
$Pbest_{i,j}^k$	personal best j^{th} component of i^{th} individual of population up to iteration k
$rand(.)$	uniformly generated random number in the range[0, 1]
$R_{s\ min}$	minimum value of series resistance factor
$R_{s\ max}$	maximum value of series resistance factor
$R_{p\ min}$	minimum value of shunt resistance factor
$R_{p\ max}$	maximum value of shunt resistance factor
$Sign(.)$	signum function on each variable of the input vector
V	initial velocity of N individuals each having D components
$V_{i,j}^k$	velocity of j^{th} component of i^{th} individual of population at iteration k
X	population of N individuals each having D components (variables)
X_i^k	i^{th} individual of population X at iteration k , i.e., $X_i^k = [X_{i,1}^k, X_{i,2}^k, \dots, X_{i,D}^k]$
ω	inertia factor
ω_{max}	maximum value of inertia factor
ω_{min}	minimum value of inertia factor

<i>Abbreviations</i>	
<i>ABSO</i>	Artificial Bee Swarm optimization
<i>BFA</i>	Bacteria foraging algorithm
<i>CPSTO</i>	Chaos particle swarm optimization algorithm
<i>CSA</i>	Cuckoo Search algorithm
<i>EAs</i>	Evolutionary algorithms
<i>GA</i>	Genetic algorithm
<i>MAE</i>	Mean absolute error
<i>MPP</i>	Maximum power point
<i>MPPT</i>	Maximum power point tracking
<i>PSO</i>	Particle swarm optimization
<i>PV</i>	Photo-voltaic
<i>RMSE</i>	Root mean square error
<i>SA</i>	Simulated annealing
<i>STC</i>	Standard test conditions

34

35 **1. Introduction**

36 In the current scenario, socio-economic development and human welfare around the
37 world depends on energy. Fossil fuels account maximum share in the overall generation.
38 However, carbon emissions and depletion are some issues associated with the use of fossil fuels.
39 The energy demand around the world is continuously increasing. If this escalating demand is to
40 be met with fossil fuels, the extensive use of fossil fuels will release a large amount of CO₂ and
41 other greenhouse gases. Renewable energy sources on the other hand are abundant in nature and
42 contain quite low or no greenhouse-gas emissions. Therefore, it is the necessity of today's world
43 to concentrate on renewable energy sources for electricity generation. Solar energy has been a
44 paramount part of renewable energy sources as it is available directly from the sun, whereas
45 wind, wave, hydro etc. are indirectly derived. Solar energy is also available in abundance and is
46 non exhaustible, but the technology to harness solar energy is still improving. Solar PV
47 technology exploits the solar radiation and directly converts it into electricity. The utilization of
48 photovoltaic (PV) technology as a source of power at user end is increasing, due to easy
49 implementation and low maintenance cost compared to other forms of energy conversion [1]. PV

50 technology has the highest power density amongst all renewable energy resources with global
51 mean of 170 W/m^2 [2]. In order to predict the performance of a PV system, a reliable and
52 accurate model design of PV systems is a necessary before being installed.

53 Performance of the PV system is affected by change in temperature and insolation [3].
54 Ideally a PV module needs to be operated at maximum power point (MPP). This incorporates
55 advance research in real time optimization techniques like fuzzy logic, artificial neural network,
56 perturb and observe algorithms etc. [4]. Therefore, it is essential to have a comprehensive study
57 and performance analysis of a PV model to predict the outcome of a PV module under diverse
58 atmospheric conditions.

59 The parameters provided in the manufacturers datasheet under standard test conditions
60 (STC) include short-circuit current, open-circuit voltage, voltage at maximum power, current at
61 maximum power and temperature coefficients of current, voltage and power. Although, provided
62 data is essential but not enough to predict accurate I-V characteristic curves under varying
63 insolation and temperature levels. Single diode PV model is extensively used by several
64 researchers [5-9, 11, 12, 45-47] due to its simplicity. Humada et al. [12] compared and
65 summarizes the techniques for parameter extraction. Further, they have also compared single-
66 diode and double diode models for one, two, three, four and five parameters by setting a model
67 evaluation criterion. The study suggests that five parameter (single-diode) model is the most
68 widely model due to its high accuracy and less complex design.

69 The main issue associated with single-diode PV model is to identify five unknown
70 parameters i.e. ideality factor (a), series resistance (R_s), shunt resistance (R_p), reverse saturation
71 current (I_o) and photovoltaic current (I_{pv}). Identification of these parameters by a suitable method
72 is essential in order to accurately predict the PV module characteristics. The methods include
73 analytical approach, iterative approach or real time approach.

74 Studies have been carried out using an ideal model of a PV cell which does not include
75 series and shunt resistance [13, 14] as shown in Fig. 1.

76

77

Fig.1. Equivalent circuit of an ideal PV model

78 The previous studies suggested that ideal model is simple but less accurate. Researchers
79 in [10, 15-18] proposed models with four parameters (a, R_s, I_o, I_{pv}) accounting shunt resistance to
80 be infinite. Although, the proposed four parameters model has not been proved accurate yet, it is
81 considered to be favorable as the unknown parameters can be easily identified in comparison to
82 the model with five parameters (a, R_s, R_p, I_o and I_{pv}).

83 To resolve the issue with the necessity of obtaining unknown parameters, a five
84 parameter model based on the values of manufacturer datasheet was presented by Villalva et al.
85 [19]. Value of ideality factor was obtained through trial and error method. The new value of R_s
86 and R_p depends upon the previous value of R_s . The new set of values was determined by
87 continuously increasing R_s and simultaneously computing R_p . These values were determined till
88 MPP of the presented model reaches to the same value as provided in manufacturer's datasheet
89 at STC. Once unknown parameters are extracted, these parameters are fixed and again calculated
90 for same model under the influence of varying insolation and temperature levels. Under standard
91 test conditions (STC), the developed method yields accurate MPP. However accuracy gets
92 compromised under the effect of varying temperature [20].

93 W. Xiao et al. [21] used a database of MPP acquired from manufacturer in order to
94 produce exact MPP at varying temperatures. At different values of temperature, MPP was
95 matched by regulating ideality factor through iterative technique. The drawback associated
96 herewith is to obtain the availability of MPP for varying temperatures, which is not provided in
97 manufacturer datasheet. Park and Choi [22] employed a parameter extraction method based on
98 datasheet values. MPP error formulation is incorporated as objective function and parameter
99 optimization is achieved by using pattern search algorithm.

100 Recently numerous evolutionary algorithms (EAs) were adopted to determine unknown
101 parameters of a PV module under consideration. Jena and Ramana [23] presented a critical
102 review based on modeling and parameter identification of a PV cell for simulation. They have
103 analyzed R_s, R_p and two diode model along with different parameter identification schemes
104 (analytical as well as soft computing). In recent years, the metaheuristic optimization algorithms
105 such as genetic algorithm (GA) [24-26], simulated annealing (SA) [27], artificial Bee Swarm
106 optimization (ABSO) algorithm [28, 29], and particle swarm optimization (PSO) [30], have

107 received considerable attention towards solar cell parameters identification problem.
108 Metaheuristic algorithms are appropriate selections for resolving the drawback associated with
109 parameter extraction at varying atmospheric conditions.

110 In case of GA, serious shortcomings, namely low speed and degradation for highly
111 interactive fitness function has been reported [31, 32]. El-Naggar et al. [27] employed Simulated
112 Annealing (SA) to extract the parameters of single and two-diode models for cell and module.
113 The trade-off between the cooling schedule and initial temperature is the major issue that makes
114 SA a less preferable choice. Jieming et al. [33] utilized Cuckoo Search algorithm (CSA) to
115 identify the parameters of the conventional and an advanced form of the single diode model for
116 PV cell and module. Askarzadeh and Rezaadeh [34] employed ABSO to obtain the parameters
117 of the single and double-diode models for PV module. Rajasekar et al. [35] presented a Bacteria
118 Foraging algorithm (BFA) to compute all parameters of the single diode R_p -model under varying
119 operating temperature and insolation values. By utilizing parameters provided on the
120 manufacturer's datasheet, I_{pv} and I_0 were analytically computed, whereas a , R_s , and R_p were
121 obtained by optimizing equation of slope at MPP.

122 Qin and Kimball [36] eliminated the idea of unknown parameters estimation for the SPV
123 model. They exploited the field test data along with PSO algorithm to determine the value of a ,
124 R_s and R_p . Measurements of short circuit current and load data were required for the field test.
125 Hengsi and Jonathan [30] employed PSO to extract PV cell parameters from the data measured
126 under real operating conditions of varying insolation and temperature. Wei H et al. [37] used
127 chaos particle swarm optimization algorithm (CPSO) to obtain unknown parameters of the single
128 diode R_p model for a module. In CPSO, the chaotic search mechanism is utilized to re-initiate the
129 stationary particles-causing an enhanced local and global search capability. Ye et al. [38] utilized
130 PSO to determine the cell parameters of the single and two-diode models from the I-V curves. In
131 comparison to GA, PSO was found to be more accurate with better computational speed. On the
132 basis of operating conditions, module technology and type of model researchers have employed
133 numerous parameter extraction techniques having advantages and disadvantages of their own.
134 Among all the techniques, performance of PSO algorithm is found to have an adequate sense of
135 balance between accuracy, speed and complexity.

136 The PSO algorithm is a swarm intelligence optimization algorithm based on observations
137 of the social behavior of bird flocking or fish schooling [28-30, 36-41]. Several authors have
138 utilized and improved many versions of PSO algorithm [28,29,38-41]. However, every version
139 of PSO has different advantage for different complex optimal problem. The major disadvantages
140 observed in PSO are of premature convergence and the loss of diversity in the population.

141 In order to eliminate the mentioned disadvantage, a novel technique has been presented in
142 this study to compute the unknown parameters (a , R_s and R_p) of a single diode PV model. In the
143 present study, a PSO based single diode model is developed to predict unknown parameters
144 under varying operating conditions. In order to retain these parameters within realistic ranges and
145 considering the effects of temperature variation, a binary constraint has been imposed i.e. by
146 penalizing the objective function when the solution attempts to exceed the predefined parameters
147 boundary limits. The accuracy of the model is assured irrespective of the temperature change.

148 The present study deals with identification of PV model using PSO with binary
149 constraints. An overview of mathematical modeling framework of a PV model is presented and
150 further, the problem formulation along with the proposed optimization technique is discussed.
151 Results and performance validation of the proposed technique are discussed in detail. Further,
152 the obtained results are compared with the results of other methods proposed in [16] and [19].
153 The proposed technique is found to be advantageous as it has the capability of determining
154 ideality factor, series and shunt resistance simultaneously without the need of estimating ideality
155 factor and field data measurements. Also, the extracted parameters are computed as a function of
156 insolation and temperature.

157

158 **2. Mathematical Modeling framework of a PV module based on single diode model.**

159 *2.1. Ideal PV cell model*

160 An ideal PV cell is represented by photo-generated current (I_{pv}) which diverges from the ideal
161 outcome due to electrical and optical losses [23, 41]. Further, the effect of series and parallel
162 resistance are not considered in this simplest PV model. Schematic for an ideal PV model is

163 shown earlier in Figure 1. Terminal current of an ideal model is represented by I-V
 164 characteristics and mathematically expressed as:

$$165 \quad I = I_{pv} - I_d \quad (1)$$

166 The diode current (I_d) signifies diffusion and recombination current in quasi steady state
 167 regions of emitter and excess concentration regions of PN junction. This diode current is
 168 represented by Shockley equation as:

$$169 \quad I_d = I_0 \{ e^{qV_d/aKT} - 1 \} \quad (2)$$

170 where q is the charge of an electron ($1.6 \times 10^{-19} \text{C}$), K is the Boltzmann constant ($1.3805 \times 10^{-23} \text{ J/K}$)
 171 T is temperature (K), I_0 is leakage current and V_d is the diode voltage.

172 The ideal mathematical model based on diode equation of Shockley and Queisser is
 173 expressed as:

$$174 \quad I = I_{pv} - I_0 (e^{qV_d/aKT} - 1) \quad (3)$$

175 Ideal solar PV cell does not consider the effect of internal resistance, thus fails to
 176 establish an accurate relationship between cell current and voltage.

177 2.2. Practical PV cell Model.

178 In order to achieve accurate results, a series resistance is introduced to the ideal PV cell
 179 model. Although this model is simple but it reveals deficiencies when subjected to temperature
 180 variations. To overcome this limitation, the model has been extended further by considering a
 181 shunt resistance and is termed as Practical PV cell. Thus, the practical single diode PV or five
 182 parameter (I_{pv} , I_0 , a , R_s and R_p) model consists of current producer and a diode with series and
 183 shunt resistance as shown in Fig. 2[4-12, 42]. The characteristics I-V curve of a practical PV cell
 184 is shown in Fig. 3.

185

186

Fig.2. Equivalent circuit of a practical PV cell

187

188 **Fig.3.** I-V characteristics curve of a PV cell.

189 The series resistance signifies resistance (ohmic loss) offered to the current flow due to
 190 ohmic contact (metal-semiconductor contact) and impurity concentrations along with junction
 191 depth. Leakage current across the junction signifies shunt resistance, connected parallel to the
 192 diode. The mathematical representation of terminal current in Eq. (1) is modified as:

$$193 \quad I = I_{pv} - I_d - V_d/R_p \quad (4)$$

$$194 \quad V_d = V + IR_s \quad (5)$$

195 where V is input voltage and I is the terminal current.

196 It is recognized that I-V characteristic curve of a PV cell is affected by both series
 197 resistance and shunt resistance. The output voltage is affected by series resistance; while shunt
 198 resistance is responsible for reduction in available current [14-15, 43-47].Eq. (3) is modified to
 199 obtain the equation of single diode PV model. The terminal current of a single diode(five-
 200 parameter) model is given by:

$$201 \quad I = I_{pv} - I_0 \left[\exp \left(\frac{V+IR_s}{aV_t} \right) - 1 \right] - \frac{V+IR_s}{R_p} \quad (6)$$

202 where V_T is the thermal voltage (nkT/q).

203 2.3. Modeling of a PV module

204 A PV module may consist of number of PV cells which can be connected in series or
 205 parallel. This series-parallel topology is represented in Fig. 4.

206

207 **Fig.4.** Equivalent circuit model of a PV module

208 The parameters of a PV cell are transformed in order to represent a PV module. Table 1
 209 represents the parameters which are transformed due to series/parallel PV topologies [45, 47].

210 Table 1: Transformed parameters for series and parallel topologies.

211 Terminal current for series-parallel configuration of a PV module can be written as;

$$I = N_p \left\{ I_{pv} - I_{s1} \left[\exp \left(\frac{V + IR_s \left(\frac{N_s}{N_p} \right)}{a N_s V_t} \right) - 1 \right] \right\} - \frac{V + IR_s \left(\frac{N_s}{N_p} \right)}{R_{sh} \left(\frac{N_s}{N_p} \right)} \quad (7)$$

A single PV module is a particular case of PV cells connected in series. Therefore, the number of cells connected in series (i.e. N_s) will be scaled with V_t . Now, equation (6) can be rewritten as;

$$I = I_{pv} - I_0 \left[\exp \left(\frac{V + IR_s}{a N_s V_t} \right) - 1 \right] - \frac{V + IR_s}{R_p} \quad (8)$$

Depending upon the load requirements, the numbers of modules are connected in series to increase voltage levels, whereas modules are connected in parallel to increase current levels.

When the terminals of a PV module are short-circuited, the current that flows through the circuit is termed as short-circuit current (I_{sc}). It is the maximum current that flows through a PV cell. I_{sc} of a PV module depends on incident insolation, which is determined by the spectrum of incident light, i.e. AM 1.5 spectrum. I_{sc} also depends on cell area and its ability to absorb incident solar radiation [23]. At a given temperature T , $V=0$ and $I=I_{sc}$, Eq.(8) becomes:

$$I_{sc}(T) = \frac{R_p}{R_s + R_p} \left\{ I_{pv} - I_0 \left[\exp \left(\frac{I_{sc}(T) + R_s}{a N_s V_t(T)} \right) - 1 \right] \right\} \quad (9)$$

Open circuit voltage (V_{oc}) is the maximum voltage that can be delivered by a PV module. The Open circuit voltage corresponds to forward bias voltage, at which dark current compensates the photo-generated current and V_{oc} is dependent on the density of photo-generated current. At open circuit condition $I=0$, $V=V_{oc}$ and Eq. (8) becomes;

$$V_{oc}(T) = R_p \left\{ I_{pv} - I_0 \left[\exp \left(\frac{V_{oc}(T)}{a N_s V_t(T)} \right) - 1 \right] \right\} \quad (10)$$

At a given temperature, maximum power is determined by the product of maximum current and voltage as shown in Fig. 3. By substituting $I=I_{mp}$ and $V=V_{mp}$, the maximum power at a given temperature can be determined from Eq. (8) as:

$$P_{mp}(T) = \frac{R_p V_{mp}(T)}{R_s + R_p} \times \left\{ I_{pv} - I_0 \left[\exp \left(\frac{V_{mp}(T) + I_{mp}(T) R_s}{a N_s V_t(T)} \right) - 1 \right] - \frac{V_{mp}(T)}{R_p} \right\} \quad (11)$$

234 Equations (9-11) are the data points used by the optimizer to provide the finest set of
 235 values for a , R_p and R_s . Also, the proportional effect of insolation intensity (G) and operating
 236 temperature (T) on the PV output current are given in Eqs. (9-11) [10, 13-15, 42-47].

237 The insolation dependence of PV current is given by;

$$238 \quad I_{pv}(G, T) = \frac{G}{G_n} (I_{pv,n} + K_{I_{sc}} \Delta T) \quad (12)$$

239 Where $I_{pv,n}$ is PV current and G_n is the solar radiation intensity in W/m^2 at STC under nominal
 240 conditions, $K_{I_{sc}}$ is the temperature coefficient of short circuit current ($mA/^\circ C$) and $\Delta T (=T-T_n)$ is
 241 the difference of temperature between the present moment and STC.

242 2.4. Effect of Temperature

243 Solar cells work best at low temperature as determined by their material
 244 properties. The cell efficiency decreases as the temperature escalates above operating
 245 temperature. A substantial part of incident insolation is lost in the form of heat resulting in high
 246 temperature of cells. To determine the effect of temperature on maximum power, $P_{mpp,e}(T)$, open
 247 circuit voltage, $V_{oc,e}(T)$ and short circuit current, $I_{sc,e}(T)$ at a given temperature are expressed as;

$$248 \quad I_{sc,e}(T) = I_{sc,n} + K_{I_{sc}} \Delta T \quad (13)$$

$$249 \quad V_{oc,e}(T) = V_{oc,n} + K_{V_{oc}} \Delta T \quad (14)$$

$$250 \quad P_{mp,e}(T) = P_{mp,n} + K_{P_{mp}} \Delta T \quad (15)$$

251 where $P_{mpp,n}$, $V_{oc,n}$ and $I_{sc,n}$ respectively represents maximum power, open circuit voltage and
 252 short circuit current under nominal circumstances. $K_{V_{oc}}$ and $K_{P_{mp}}$ are the temperature coefficient
 253 of open circuit voltage and maximum power point provided by the manufacturers as shown in
 254 Table 2. The datasheets of the considered modules are provided in Ref. [48], [49] and [50].

255

256

257

258

259 Table 2: Parameters provided by the manufacturers of different PV modules at STC.

260 The values of maximum voltage and maximum current temperature coefficient are not
261 available and are approximated [57] as:

$$262 \quad K_{V_{mp}} \approx K_{V_{oc}} \quad (16)$$

$$263 \quad K_{I_{mp}} \approx K_{I_{sc}} \quad (17)$$

264 Therefore, at different temperatures values of V_{mp} and I_{mp} are anticipated as;

$$265 \quad V_{mp}(T) = V_{mp,n} + K_{V_{oc}} \Delta T \quad (18)$$

$$267 \quad I_{mp}(T) = I_{mp,n} + K_{I_{sc}} \Delta T \quad (19)$$

268 3. The Proposed Method and Problem Formulation

269 The PV model, represented in Eq. (8), is a mystical function which includes three
270 unidentified parameters (a , R_s , and R_p). Conventional techniques like Newton–Raphson method
271 triggers singularity due to large situation number of the Jacobin matrix. In order to overcome this
272 drawback, a PSO based technique is considered and presented to eradicate the necessity for
273 matrix inversion and partial differentiation.

274 3.1. Objective function

275 Based on the manufacture's data given in Table 2, the unidentified parameters of a single
276 diode model as shown in Figure 1 are to be identified in order to match the generated I-V and P-
277 V curves of the presented model with the manufactures data at a specified temperature. The
278 objective function for calculating PV module unknown parameters like ideality factor (a), series
279 resistance (R_s) and parallel resistance (R_p) is defined as:

$$280 \quad \min f_{obj} = |f_{I_{sc}}| + |f_{V_{oc}}| + |f_{P_{mp}}| \quad (20)$$

281 Contrasting the distinctive methodology that determines the model parameters by means
282 of MPP only, the objective function in Eq. (20) consists of three data points $[0, I_{sc}]$, $[V_{mp}, I_{mp}]$ and
283 $[V_{oc}, 0]$ for optimization. It also contemplates the consequences of temperature on the PV module
284 for identifying a , R_s and R_p in comparison to other techniques that are dependent on STC only.

285 To normalize the objective function, the numerator and denominator of equations from
 286 Eq. (21-23) are obtained from Eqs. (9-11) and (13-15), respectively. This ensures that the range
 287 of the terms in the objective function is same.

$$288 \quad fI_{sc}(a, R_s, R_p, T) = \frac{I_{sc}(T)}{I_{sc,e}(T)} - 1 \quad (21)$$

$$289 \quad fV_{oc}(a, R_s, R_p, T) = \frac{V_{oc}(T)}{V_{oc,e}(T)} - 1 \quad (22)$$

$$291 \quad fP_{mp}(a, R_s, R_p, T) = \frac{P_{mp}(T)}{P_{mp,e}(T)} - 1 \quad (23)$$

293 3.2. Binary Constraints Handling Approach

294 PV modules' parameters like ideality factor, series resistance and parallel resistance must
 295 be within their limits. Three set of constraints are imposed to handle this problem. The
 296 constraints are expressed as:

$$297 \quad a_{\min} < a < a_{\max} \quad (24)$$

$$298 \quad R_{s,\min} < R_s < R_{s,\max} \quad (25)$$

$$299 \quad R_{p,\min} < R_p < R_{p,\max} \quad (26)$$

300 where the minimum and maximum values of the parameters to be determined are represented by
 301 the subscripts 'min' and 'max', respectively. The binary constraints considered for simulation are
 302 given in Table 3.

303 Table 3: Binary constraints considered for simulation

304 A binary constraint handling approach is proposed to penalize the objective function if
 305 any of the above constraint violates. The proposed approach for handling binary constraints is
 306 expressed as follows:

$$307 \quad f_{\text{barrier}} = [(sign(a_{\min} - a) + sign(a_{\max} - a))^2 + (sign(R_{s,\min} - R_s) + sign(R_{s,\max} - R_s))^2 + (sign(R_{p,\min} - R_p) + sign(R_{p,\max} - R_p))^2] \quad (27)$$

308 where sign(x) is a function return as -1, 0 and 1 if $x < 0$, $x = 0$ and $x > 0$, respectively. This
 309 binary constraint handling approach is having advantages over the other constraints handling
 310 approach as it only penalizes the objective function if there is a constraint violation.

311 By introducing binary constraint handling approach term into the objective function, i.e.,
 312 $f_{obj} = |f_{Isc}| + |f_{Voc}| + |f_{Pmp}| + |f_{barrier}|$, the problem is transformed into an unconstrained optimization
 313 problem.

314 The objective function given by Eq. (20) is minimized in order to determine a , R_s and R_p
 315 by formulating the PSO approach. In previous studies [37-39, 45-50], PSO algorithm based
 316 technique has been used for maximization of the objective function. Whereas in the present
 317 study, the objective function is minimized to zero for different values of temperature and
 318 insolation using an absolute function.

319 3.3. PSO algorithm

320 Particle swarm optimization is inspired by social and cooperative behavior displayed by
 321 various species to fill their needs in the search space. The algorithm is guided by personal
 322 experience (Pbest), overall experience (Gbest) and the present movement of the particles to
 323 decide their next positions in the search space. Further, the experiences are accelerated by two
 324 factors c_1 and c_2 known as acceleration coefficients, and two random numbers generated between
 325 $[0, 1]$, whereas the present movement is multiplied by an inertia factor ' ω ' varying between
 326 $[\omega_{min}, \omega_{max}]$. The size of the population is considered as ' N ' and the dimension of each element
 327 of the population is considered as D , where D represents the total number of variables. The initial
 328 solution is denoted as $\mathbf{X} = [\mathbf{X}_1, \mathbf{X}_2, \dots, \mathbf{X}_N]^T$, where ' T ' denotes the transpose operator. Each
 329 individual \mathbf{X}_i ($i = 1, 2, \dots, N$) is given as $\mathbf{X}_i = [X_{i,1}, X_{i,2}, \dots, X_{i,D}]$. The initial velocity of the
 330 population is denoted as $\mathbf{V} = [\mathbf{V}_1, \mathbf{V}_2, \dots, \mathbf{V}_N]^T$. Thus, the velocity of a particle \mathbf{X}_i ($i = 1, 2, \dots, N$)
 331 is given as $\mathbf{V}_i = [V_{i,1}, V_{i,2}, \dots, V_{i,D}]$.

332 The flowchart of the proposed PSO-based inverse barrier technique is shown in Fig. 5.

333

334

Fig.5.Flowchart of the proposed technique

335 The different steps of PSO are as follows for $\forall i$ and $\forall j$ (where ' i ' represents particle and ' j '
 336 its dimension):

337 Step 1. Set parameter ω_{min} , ω_{max} , c_1 and c_2 of PSO

- 338 Step 2. Initialize population of particles having positions \mathbf{X} and velocities \mathbf{V}
- 339 Step 3. Set iteration $k = 1$
- 340 Step 4. Calculate fitness of particles $F_i^k = f(\mathbf{X}_i^k)$ and find the index of the best particle b
- 341 Step 5. Select $\mathbf{Pbest}_i^k = \mathbf{X}_i^k$ and $\mathbf{Gbest}^k = \mathbf{X}_b^k$
- 342 Step 6. Take $\omega = \omega_{max} - k \times (\omega_{max} - \omega_{min}) / \text{Max}_{iteration}$
- 343 Step 7. Update velocity and position of particles as;
- 344 $V_{i,j}^{k+1} = w \times V_{i,j}^k + c_1 \times \text{rand}(\) \times (\mathbf{Pbest}_{i,j}^k - X_{i,j}^k) + c_2 \times \text{rand}(\) \times (\mathbf{Gbest}_j^k - X_{i,j}^k); \forall j \text{ and } \forall i$
- 345 $X_{i,j}^{k+1} = X_{i,j}^k + V_{i,j}^{k+1}; \forall j \text{ and } \forall i$
- 346 Step 8. Evaluate fitness $F_i^{k+1} = f(\mathbf{X}_i^{k+1})$ and find the index of the best particle $b1$
- 347 Step 9. Update Pbest of population
- 348 If $F_i^{k+1} < F_i^k$, then, $\mathbf{Pbest}_i^{k+1} = \mathbf{X}_i^{k+1}$; else $\mathbf{Pbest}_i^{k+1} = \mathbf{Pbest}_i^k$;
- 349 Step 10. Update Gbest of population
- 350 If $F_{b1}^{k+1} < F_b^k$ then $\mathbf{Gbest}^{k+1} = \mathbf{Pbest}_{b1}^{k+1}$ and set $b = b1$ else $\mathbf{Gbest}^{k+1} = \mathbf{Gbest}^k$
- 351 Step 11. If $k < \text{Maxite}$ then $k = k + 1$ and go to step 6 else go to step 12
- 352 Step 12. Print optimum solution as \mathbf{Gbest}^k

353 Based on the randomly generated population, the PSO technique provides a collection of
 354 different solutions for a , R_s and R_p with each new execution of the optimization technique. This
 355 provides a set of I-V curves.

356 The technique provides several I-V and P-V curves as shown in Figure 6 and 7
 357 respectively that meet the objective function to confirm the authentication of the presented
 358 algorithm. The circle markers on these curves indicate $[0, I_{sc}]$, $[V_{mp}, I_{mp}]$ and $[V_{oc}, 0]$ which are
 359 the points that the I-V curve of the proposed method (indicated by the solid lines) must pass
 360 through.

361

362

Fig.6. I-V curves obtained by the presented technique

363

364

Fig.7 P-V curves obtained by the presented technique.

365 The overall model error defined for each set of curves in Figure 6 and 7 is represented by
 366 the following equation;

$$367 \quad \varepsilon_i = |P_{mp,m_i}(T) - P_{mp,e}(T)| + |V_{mp,m_i}(T) - V_{mp,e}(T)| \quad (28)$$

368 Where ε is the overall model error and subscript i signifies the specific curve under assessment.
 369 From all the possible optimized solution, outcome with the least value of ε is selected as the best
 370 solution.

371 4. Results and Discussions

372 Performance of the proposed optimization technique (PSO approach) has been investigated
 373 first. The parameters such as population size ' ps ' and acceleration coefficients c_1 and c_2 affect the
 374 execution of PSO. MATLAB environment is used to conduct this mathematical study. The
 375 parameters set up for considered PSO algorithm is shown in Table 4:

376 Table 4: Parameters setup for considered PSO algorithm

377 4.1. Convergence of PSO

378 In order to study the convergence of PSO for the proposed technique, PV modules of two
 379 different technologies have been used. As the temperature varies, for each value of temperature,
 380 PSO is implemented and gets terminated after 1000 generations. The optimization has been
 381 repeated for 100 times with some new sets of population in order to achieve the average of
 382 optimized results. Figure 8 shows the best fitness value versus generations plot for different
 383 values of temperature.

384
 385 **Fig.8.** Best fitness versus generations for $T=0^{\circ}\text{C}$ to 75°C for Shell SQ85

386 The fitness value in curves converges to zero for SQ85 PV module irrelevant of the operating
 387 temperature. Similar results can be achieved for KD210GH-2PU and SP70 PV module. It is
 388 observed that after every 100 generations the fitness value drops down to zero in 8ms of time to
 389 confirm the convergence of the fitness value.

390 4.2. Model validation

391 Based on the convergence of the proposed algorithm, the PV modules of two different
392 technologies are used to evaluate the proposed model under the present study. The parameters
393 and constraints of these technologies are specified earlier in Tables 2 and 3, respectively. The
394 identified parameters obtained by applying the proposed optimization technique are presented in
395 Figure 9.

396
397 **Fig.9.** Model parameters for KD210GH-2PU, SP70 and SQ85 at 0°C to 75°C. (a) Ideality factor. (b) Series
398 resistance. (c) Shunt resistance.

399 Ideality factor, series resistance and shunt resistance for two different technologies
400 (Mono-crystalline, KD210GH-2PU and Poly-crystalline, SP70 and SQ85 PV modules) have
401 been extracted by the proposed technique for different values of temperature in the range of T =
402 0°C to 75°C. Parameters exhibit non-linear characteristics and the ideality factor is on an urge of
403 decrease [Figure 9(a)]. On the other hand, series resistance shows escalating tendency [Figure 9
404 (b)] for SP70 and SQ85 PV modules. However, KD210GH-2PU PV module indicates the
405 declining tendency in series resistance and inclining trend in ideality factor with increase in
406 temperature. In case of shunt resistance, the values identified approximately remains constant for
407 KD210GH-2PU, SP70 and SQ85 PV modules. Series resistance decreases with increase in the
408 ideality factor and vice-versa. However, a slight variation has been observed in case of shunt
409 resistance.

410 Out of 100 independent runs, the best value, mean value and worst value of ideality
411 factor, series resistance and shunt resistance at different temperatures for KD210GH-2PU and
412 SQ85 PV modules are presented in Table 5.

413 Table 5: Identified parameters for KD210GH-2PU and SQ85 PV modules

414 Based on the obtained values of the unknown parameters, I-V and P-V curves of
415 KD210GH-2PU PV module at different insolation and temperature are obtained as shown in
416 Figure 10 and Figure 11 respectively.

417

418 **Fig.10.** I–V and P–V curves of proposed model (solid line) and manufacturer’s experimental data (circle marker at
 419 I_{sc} , P_{mp} and V_{oc}) of KD210GH-2PU (Multi-crystalline) PV module under different irradiation, $T = 25^{\circ}\text{C}$.

420

421 **Fig.11.** I–V and P–V curves of proposed model (solid line) and manufacturer’s experimental data (circle marker at
 422 I_{sc} , P_{mp} and V_{oc}) of KD210GH-2PU (Multi-crystalline) PV module at different temperature, $G = 1000\text{W}/\text{m}^2$.

423 The circle marker at I_{sc} , P_{mp} and V_{oc} indicates manufacturer’s experimental data and the
 424 results based on the proposed method are indicated by the solid lines. So, the proposed
 425 methodology and obtained results clearly indicate that the achieved characteristic curves are
 426 quite similar to the manufacturer’s data, irrespective of varying atmospheric conditions.

427 4.3 Comparison of the proposed technique

428 In order to keep point of reference of the proposed technique with techniques used in [16]
 429 and [19], the relation between absolute error in power and voltage is shown in Figure 12. It is
 430 seen that a similar range of accuracy is obtained between the presented method and method used
 431 in [19] for different values of temperature. The proposed method offers better accuracy at MPP,
 432 whereas, the method presented in [16], shows a considerable amount of error for different values
 433 of temperature.

434

435 **Fig.12.** Absolute power error for KD210GH-2PU (Multi-crystalline) at (a) $T = 25^{\circ}\text{C}$, (b) $T = 50^{\circ}\text{C}$, and (c) $T =$
 436 75°C , $G = 1000\text{W}/\text{m}^2$, A.M = 1.5.

437 By making the variations of 1°C in the range of temperature from 0°C to 75°C , the
 438 findings based on two other PV modules (SP70 and SQ85) have also been observed. Figure 13
 439 illustrates the average result of SP70 PV module for 100 data sets.

440

441 **Fig.13.** Absolute error at MPP for SP-70 (Mono-crystalline) at different temperature, $G=1000\text{ W}/\text{m}^2$, A.M =1.5.

442 Under STC, the error in results illustrated by model [19] is 0.013% for P_{mp} and 0.0515%
 443 for V_{mp} at MPP. As the temperature deviates from STC, accuracy decreases up to 2.73% and
 444 2.11% at 0°C and 75°C temperature, respectively. Also variation of error in V_{mp} is observed at
 445 0°C to 75°C (mean=0.573% and standard deviation=0.289%).

446 In the present study, error of 0.001% for ' P_{mp} ' and 0.10% for V_{mp} at STC are found. The
447 maximum error 0.011% for P_{mp} is observed for specified temperature range and a standard
448 deviation of 0.045 for V_{mp} is obtained. The obtained value is six times lower as compared to [19].
449 A similar pattern of results is obtained for SQ85 PV module. Table 6 gives the mean and
450 standard deviation values for SP70 and SQ85 PV modules.

451 Table 6: Comparison of absolute error at MPP (A.M 1.5, 1000 W/m²)

452 It is therefore recommended that in order to attain low modeling error under temperature
453 variation, it is essential to adjust a , R_s and R_p .

454 5. Conclusions and future works

455 A novel approach of optimization technique based on PSO with binary constraints is
456 presented in order to identify the unknown parameters of a single diode model. The proposed
457 method completely eliminates the requirement of assuming the ideality factor. It also includes
458 the temperature variations to identify the unknown parameters.

459 The evaluation of three different PV modules ensures the robustness of the proposed
460 technique. The two novel approaches have been considered as a point of reference for the
461 proposed technique. Appreciable accuracy in the results is achieved irrespective of temperature
462 variations. The PSO algorithm has been executed 100 times with same initial condition as well as
463 with standard parameter values provided by the manufacturer. The mean of maximum modeling
464 error at MPP is found to be less than 0.02 % for maximum voltage and 0.26 % for maximum
465 power.

466 In future, following works are proposed to improve the performance of PV model:

- 467 • With growing interests in the study of partial shading and accuracy concerns associated with
468 low insolation and large PV installations, performance prediction is important for accurate
469 energy yield. More elaborate and accurate models like two-diode model (or three-diode
470 model) must be incorporated for performance analysis of the PV system.
- 471 • Further, one of the promising alternatives for computing the model parameters under these
472 conditions could be hybrid approach.

- 473 • Furthermore, the PV models are still based on mono-crystalline and poly-crystalline
474 technology. For instance, amorphous thin film modules have high ideality factor due to low
475 fill factors. However, models presume fill factor in the range of $1 < a < 2$. There are very few
476 committed efforts carried out for multi-junction, organic and dye synthesized PV cells. These
477 are emerging areas of interests and particular problems related to them must be resolved.
- 478 • Finally, problems associated to cell degradations with time and weather conditions must be
479 addressed. Additional coefficients can be added to mimic the cell deterioration for different
480 module technologies. This effort will offer a greater understanding of the module
481 performance over an extensive period of time.

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LIST OF TABLES

Table 1: Transformed parameters for series and parallel topologies.

S.No.	Parameters of SPV cell	Parameters of PV module (N_s cells connected in series)	Parameters of PV module (N_p cells connected in parallel)
1.	I_{Pv}	I_{Pv}	$N_p I_{Pv}$
2.	V_t	$N_s V_t$	V_t
3.	R_s	$N_s R_s$	R_s/N_p
4.	R_{sh}	$N_s R_{sh}$	R_{sh}/N_p

Table 2: Parameters provided by the manufacturers of different PV modules at STC.

Parameters	Unit	Multi-crystalline	Mono-crystalline	Mono-crystalline
		Kyocera KD210GH-2PU	Shell SP70	Shell SQ85
I_{sc}	A	8.58	4.70	5.45
V_{oc}	V	33.20	21.40	22.20
I_{mp}	A	7.90	4.25	4.95
V_{mp}	V	26.60	16.50	17.20
$K_{V_{oc}}$	(mV/°C)	-120	-76	-72.50
$K_{I_{sc}}$	(mA/°C)	5.15	2	0.8
$K_{P_{mp}}$	(%/°C)	-0.45	-0.45	-0.43
N_s	Nos.	54	36	36

Table 3: Binary constraints considered for simulation

Parameters	Unit	Multi-crystalline	Mono-crystalline	Mono-crystalline
		Kyocera, KD210GH-2PU	Shell, SP70	Shell, SQ85
a_{min}	-	0.5	0.5	0.5
a_{max}	-	2.0	2.0	2.0
$R_{P_{min}}$	ohm	0.001	0.001	0.001
$R_{P_{max}}$	ohm	1.0	1.0	1.0
$R_{S_{min}}$	ohm	50	50	50
$R_{S_{max}}$	ohm	200	200	200

Table 4: Parameters setup for considered PSO algorithm

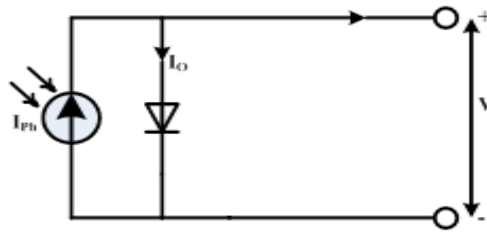
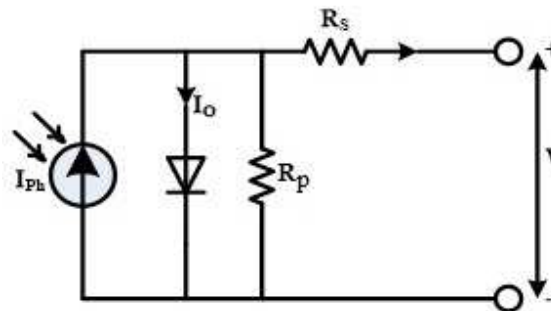
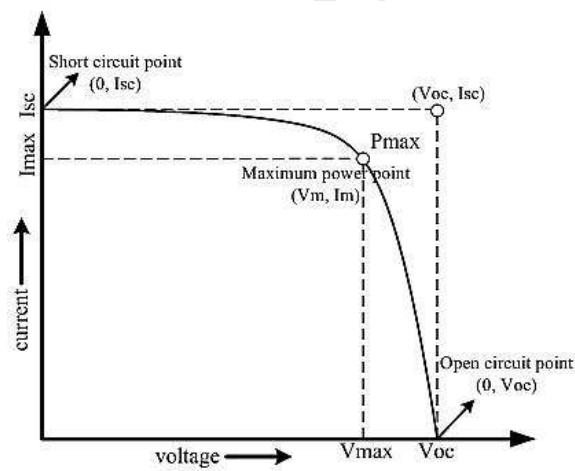
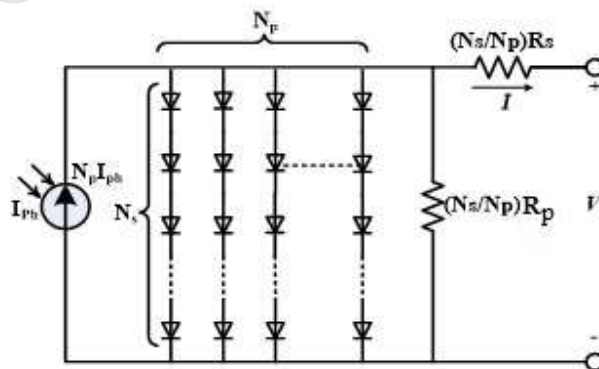
S.No.	Parameters	Values
1.	Population size (ps)	60
2.	Acceleration coefficients ($c_1=c_2$)	2.0
3.	Minimum value of inertia factor, (ω_{min})	0.4
4.	Maximum value of inertia factor, (ω_{max})	0.9
5.	Maximum iteration	1000
6.	Maximum tolerance for objective function	10^{-8}

Table 5: Identified parameters for KD210GH-2PU and SQ85 PV modules

Temperature	Values	KYOCERA-KD210GH-2PU			SHELL-SQ85		
		a	R_s (Ω)	R_p (Ω)	a	R_s (Ω)	R_p (Ω)
25 ⁰ C	Best Value (G_{best})	1.6016	0.0012	104.5979	1.6056	0.0284	55.7392
	Mean Value (G_{mean})	1.4809	0.0909	142.7663	1.5603	0.2161	130.1744
	Worst Value (G_{worst})	0.6785	0.4989	193.9616	0.9177	0.5473	193.6260
50 ⁰ C	Best Value (G_{best})	1.5996	0.0010	199.9060	1.5998	0.0010	199.9962
	Mean Value (G_{mean})	1.5582	0.0186	165.0791	1.5448	0.0309	181.9622
	Worst Value (G_{worst})	0.5577	0.4393	107.2338	0.6785	0.4989	193.9616
75 ⁰ C	Best Value (G_{best})	1.5996	0.0010	199.9773	1.5998	0.0009	199.9274
	Mean Value (G_{mean})	1.5793	0.0099	158.1982	1.5726	0.0160	171.7543
	Worst Value (G_{worst})	0.5517	0.4393	107.2338	0.6786	0.4988	193.9616

Table 6: Comparison of absolute error at MPP (A.M 1.5, 1000 W/m²)

Method	Shell SP70				Shell SQ85			
	Mean (%)		Standard Deviation (%)		Mean (%)		Standard Deviation (%)	
	P_{mp} Error	V_{mp} Error	P_{mp} Error	V_{mp} Error	P_{mp} Error	V_{mp} Error	P_{mp} Error	V_{mp} Error
[19]	1.246	0.573	0.726	0.289	1.373	0.420	0.829	0.282
Proposed	0.003	0.068	0.002	0.045	0.001	0.077	0.002	0.047

LIST OF FIGURES**Fig.1.** Equivalent circuit of an ideal PV model**Fig.2.** Equivalent circuit of a practical PV cell**Fig.3.** I-V characteristics curve of a PV cell.**Fig.4.** Equivalent circuit model of a PV module

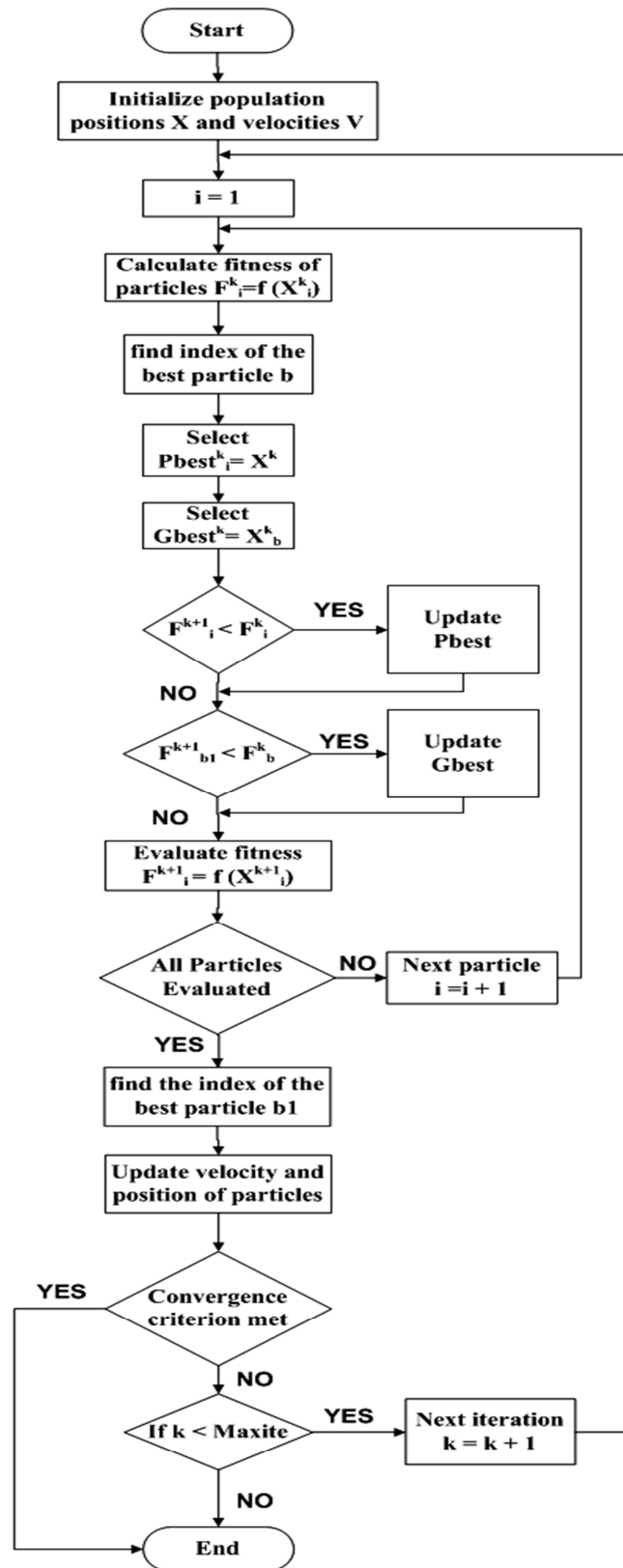


Fig.5. Flowchart of the proposed technique

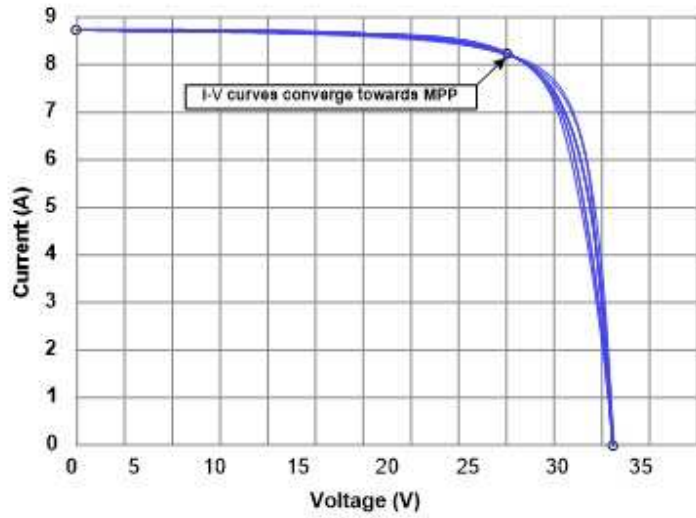


Fig.6. I-V curves obtained by the presented technique

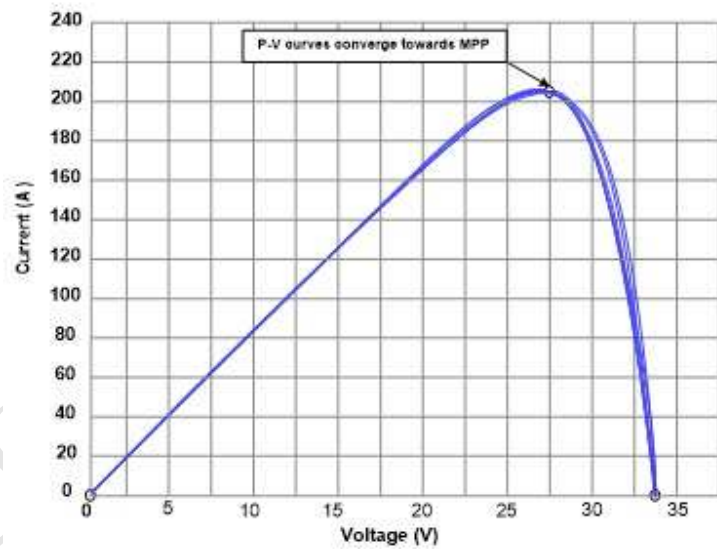


Fig.7 P-V curves obtained by the presented technique.

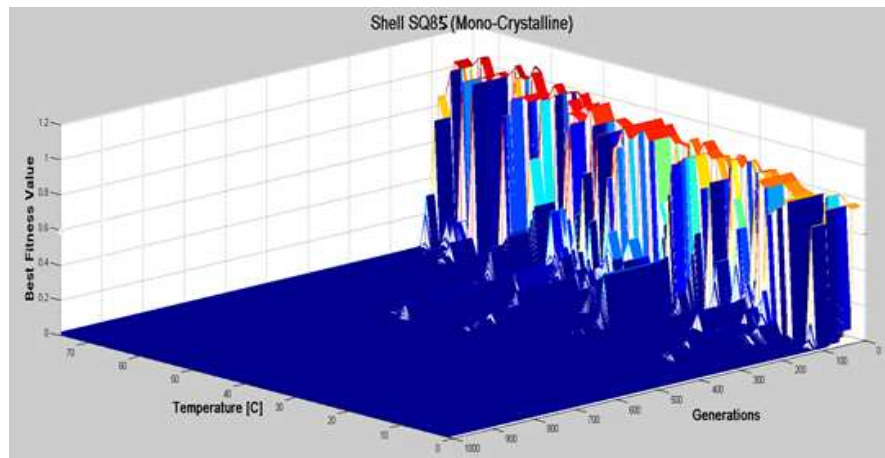


Fig.8. Best fitness versus generations for $T=0^{\circ}\text{C}$ to 75°C for Shell SQ85

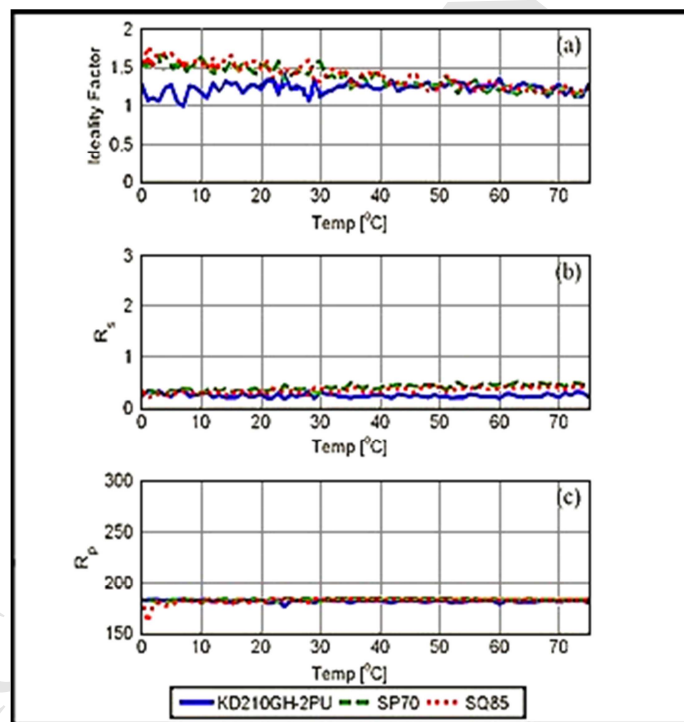


Fig.9. Model parameters for KD210GH-2PU, SP70 and SQ85 at 0°C to 75°C . (a) Ideality factor. (b) Series resistance. (c) Shunt resistance.

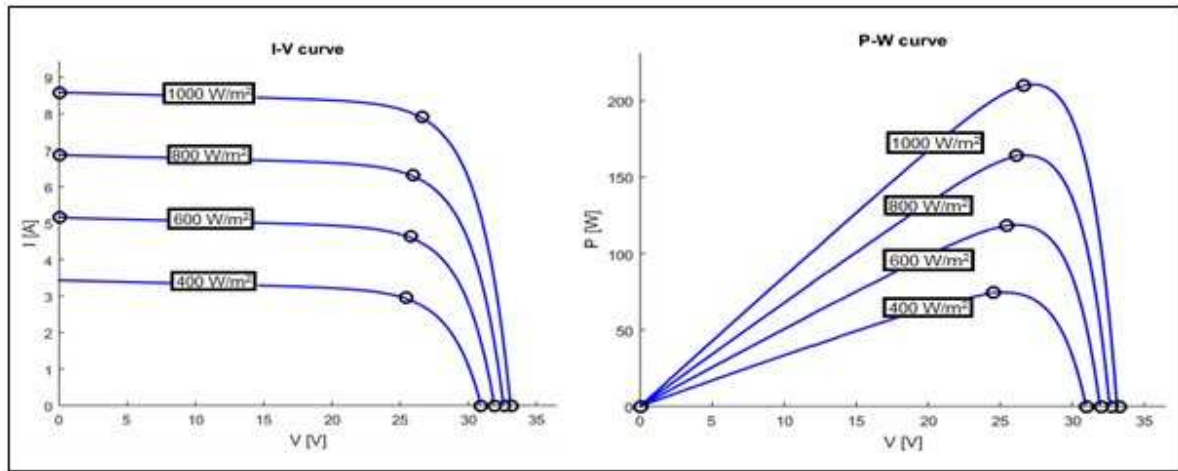


Fig.10. I-V and P-V curves of proposed model (solid line) and manufacturer's experimental data (circle marker at I_{sc} , P_{mp} and V_{oc}) of KD210GH-2PU (Multi-crystalline) PV module under different irradiation, $T = 25^\circ C$.

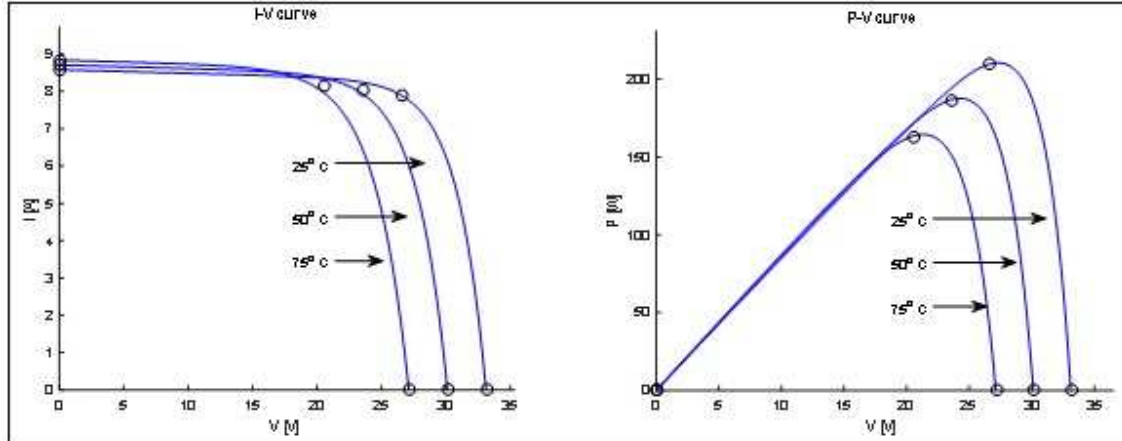


Fig.11. I-V and P-V curves of proposed model (solid line) and manufacturer's experimental data (circle marker at I_{sc} , P_{mp} and V_{oc}) of KD210GH-2PU (Multi-crystalline) PV module at different temperature, $G = 1000W/m^2$.

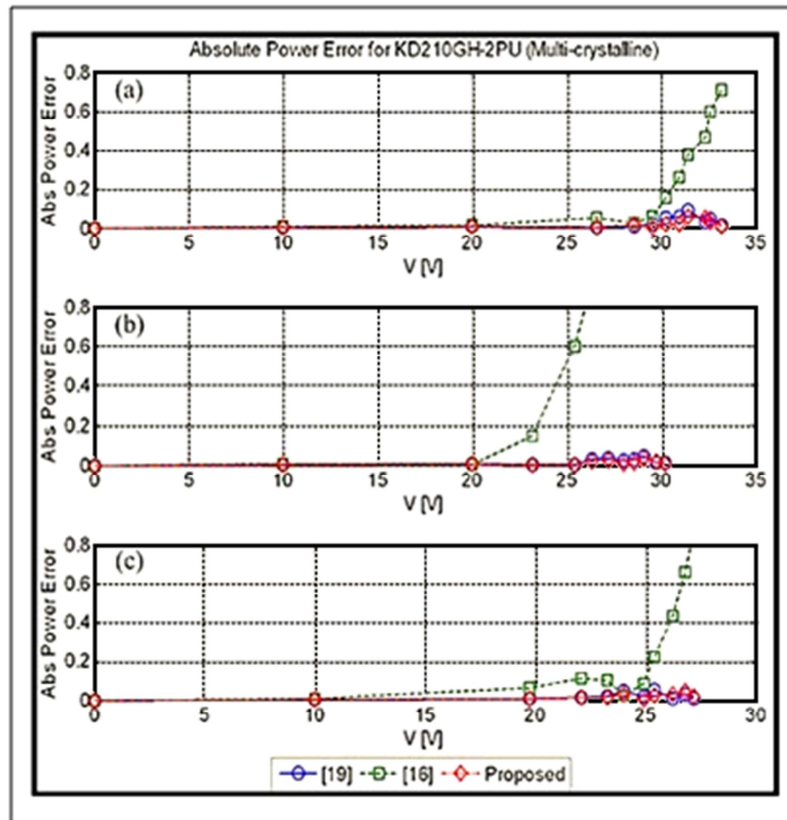


Fig.12. Absolute power error for KD210GH-2PU (Multi-crystalline) at (a) $T = 25^{\circ}\text{C}$, (b) $T = 50^{\circ}\text{C}$, and (c) $T = 75^{\circ}\text{C}$, $G = 1000\text{W}/\text{m}^2$, $A.M = 1.5$.

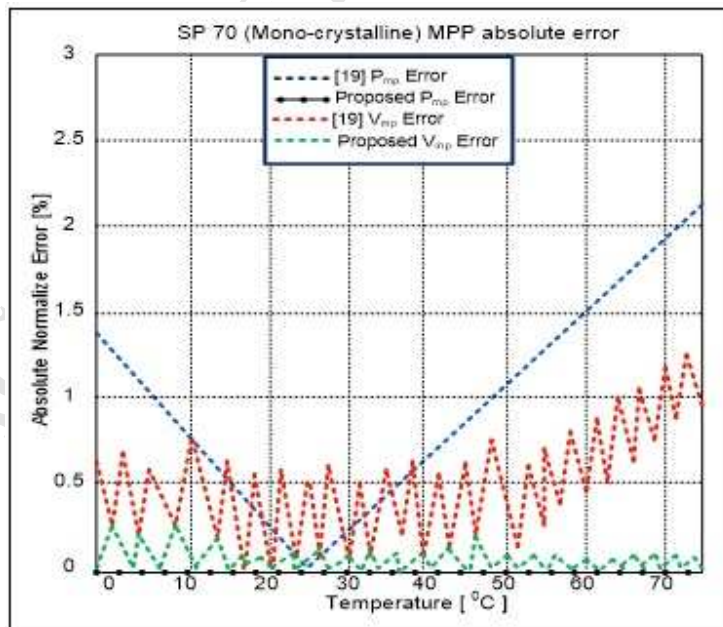


Fig.13. Absolute error at MPP for SP-70 (Mono-crystalline) at different temperature, $G=1000\text{ W}/\text{m}^2$, $A.M=1.5$.

HIGHLIGHTS

- In the proposed study, unknown parameters (ideality factor, series resistance, shunt resistance) of the single diode model are identified considering binary constraints using PSO based approach.
- Based on the results of the proposed technique the characteristic curve of the PV module is validated with the manufacturer's experimental data.
- The two novel approaches have been considered as a point of reference for the proposed technique.